

El Futuro de las Ciudades: introduction and overview

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The complexity paradigm

1 Systems analysis, MIT, Jay Forrester, 1964

General systems theory Bertalanffy 1967

→ System's autonomy / its environment

Models: difference equations

2 Self-organisation theory: Prigogine, Haken (1970-80)

→ Open systems, dissipative structures, unpredictable effects of non linear micro-interactions on system's macro structure and dynamics, path dependence (irreversibility)

Models: differential equations

3 Complex systems theory

Santa Fe Institute, ISI, ECSS (1990-2000)

→ Emerging properties

Models: Multi-Agents-Systems

Research Challenges

Which processes explain the resilience of urban systems?

How the systems will manage/adapt climatic or societal crisis?

→ spatial dynamics modelling for addressing urban stakeholders problems

Urban systems are complex systems

.Urban systems are produced by social interactions (conveying information), according to their range in space and duration in time

- Non-linear interaction occur at micro, meso or macro levels, and between levels

- Emergence of collective properties within cities:

→ Urban field (Bleicher, 1892, Clark, 1952)

and within systems of cities:

→ Hierarchical organisation (« cities as systems within systems of cities » Reynaud, 1841, Berry, 1964, Pred, 1977)

- Urban « memory » (dynamic path dependence) as a constraint on urban dynamics at both levels

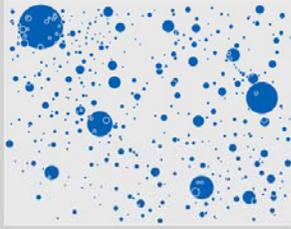
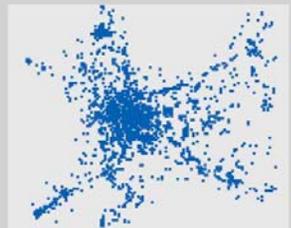
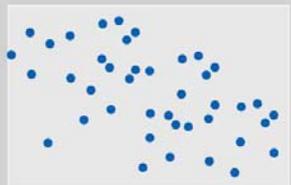
Urban systems hierarchical organisation

Two levels:
**Cities and
 Systems of
 cities**

*Pumain D.
 Hierarchy in
 natural and
 social
 sciences,
 Springer,
 2006*

Scale and urban systems

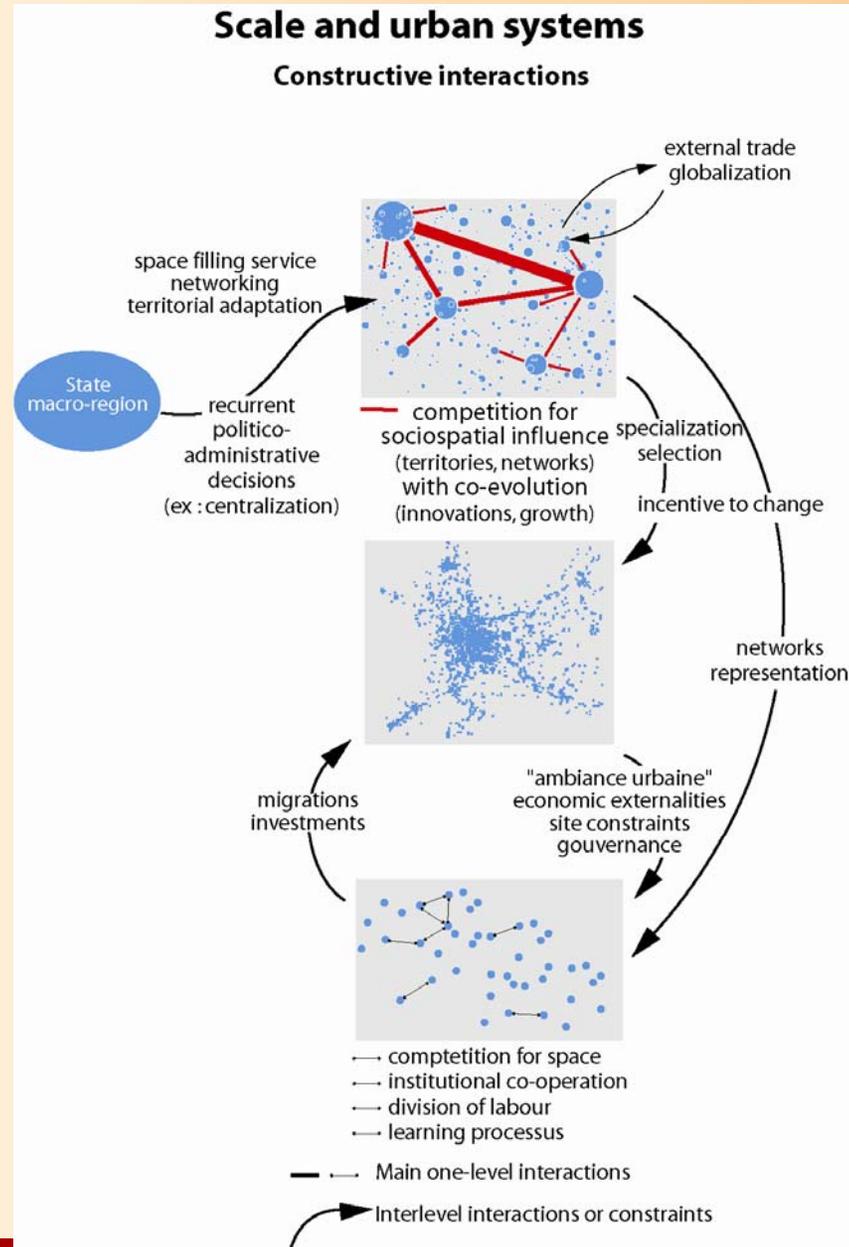
Emerging structural properties

Spatio-temporal scales	Emerging properties	Organization levels
 <p data-bbox="782 644 839 668">1 day</p>	<p data-bbox="1087 458 1271 596">Hierarchy Functional diversity Spatial pattern</p>	<p data-bbox="1429 472 1639 572">Macro: System of cities (urban networks)</p>
 <p data-bbox="782 965 839 989">1 hour</p>	<p data-bbox="1058 765 1306 903">Centrality Function Morphology "Ambiance urbaine"</p>	<p data-bbox="1448 822 1614 893">Meso: City (urban areas)</p>
Descriptors		
	<p data-bbox="1115 1158 1249 1258">Life cycle Profession Power</p>	<p data-bbox="1420 1158 1652 1258">Micro: Actors (households, firms, institutions)</p>

Urban systems emerge from spatial interaction

Multi-levels social interactions

*Pumain D.
Hierarchy in natural and social sciences,
Springer, 2006*



Evolutionary theory of urban systems

Hierarchical differentiation of city sizes emerging from interurban interaction (competition > cooperation)

Persistence of urban hierarchies (long term) and specialisation (medium term) despite many local and temporal fluctuations in cities profiles and individual trajectories (firms, households)

Functional geodiversity from innovation cycles generated by interurban competition and emulation

Systemic (proactive) partial diffusion of innovations:

- **Hierarchical selection (top down and bottom up)**
- **Emergence of specialised cities**
→ **Growth impulse to large cities and specialised ones**

Scale, structure and dynamics in urban systems

- Urban systems are complex systems
- Their geographical structure is dynamically produced through societal spatial interactions
- Irreversible historical processes and path dependence → evolutionary theory of urban systems (Pumain, 1997)
- Scaling laws may help to understand urban dynamics and to predict its future
→ Examples of scaling processes at two levels of observation/organisation



At local scale: the city

→ Urban sprawl or compactness? Which model is more sustainable?

→ Urban areas have higher densities (hundred to thousand times those of countryside)

But their ecological footprints are still difficult to measure and probably highly variable

→ Convergence towards a unique model or path dependency maintaining large variations?

First step: Building harmonised data bases

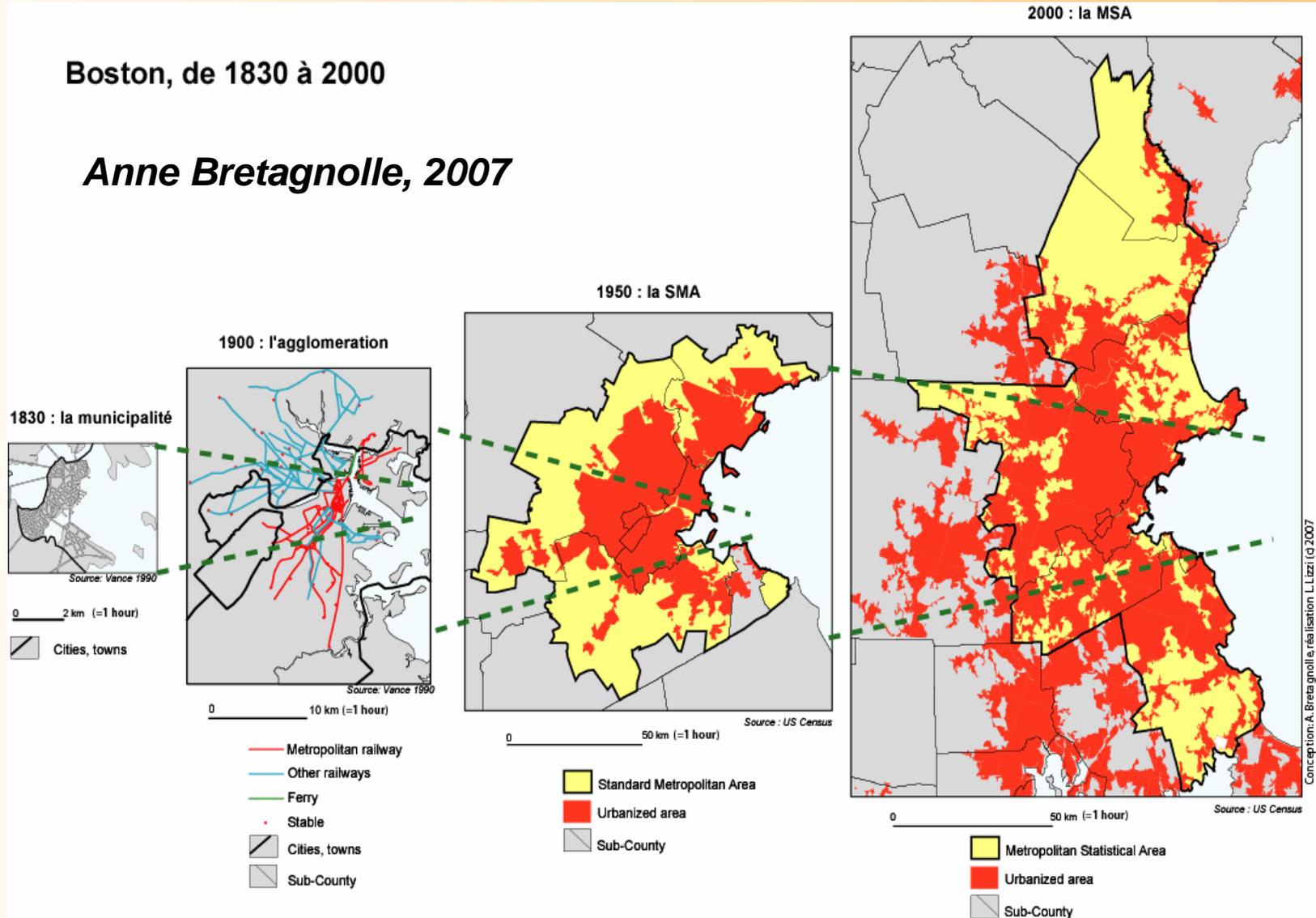
→ a **multilevel evolutionary urban ontology**: « cities » are defined as activity-space-time (contiguous urbanised places within one hour travel time) within systems of cities (= tightly interconnected cities under a common institutional control, not easily bounded)



Harmonised data bases for the observation of cities (urban concept in evolving interaction space)

Boston, de 1830 à 2000

Anne Bretagnolle, 2007



At city level: a fractal morphology

How social interactions shape the major center-periphery structure

→ The urban field

Example in Europe where urban systems have a long history of adapting to different regimes of societal organisation and communication technologies

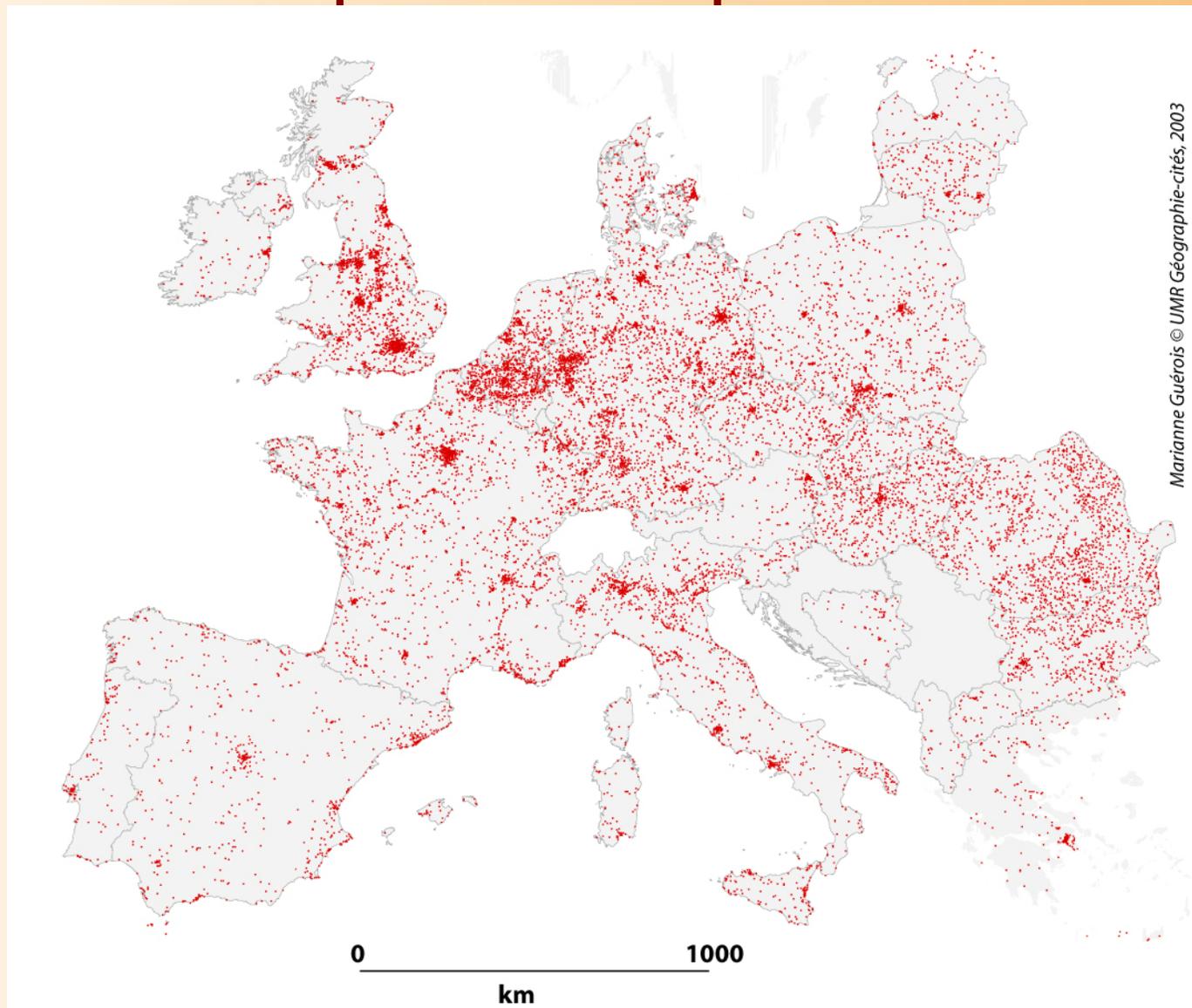


A view of European urban space

Built-up areas in Europe from the sky (1990)

CORINE Land Cover

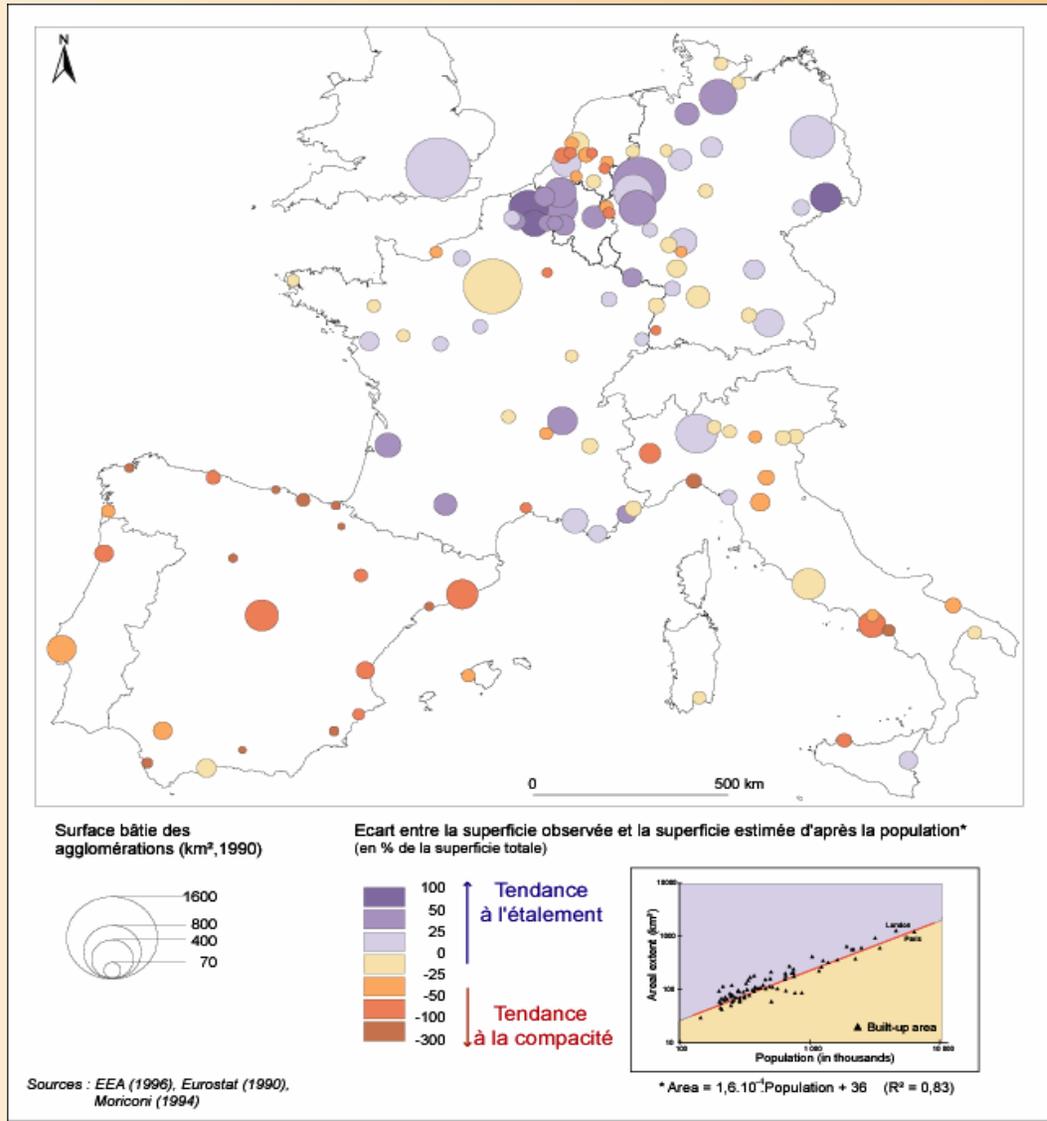
Source :
European
Environment
Agency (1996)



Marianne Guérois © UMR Géographie-cités, 2003

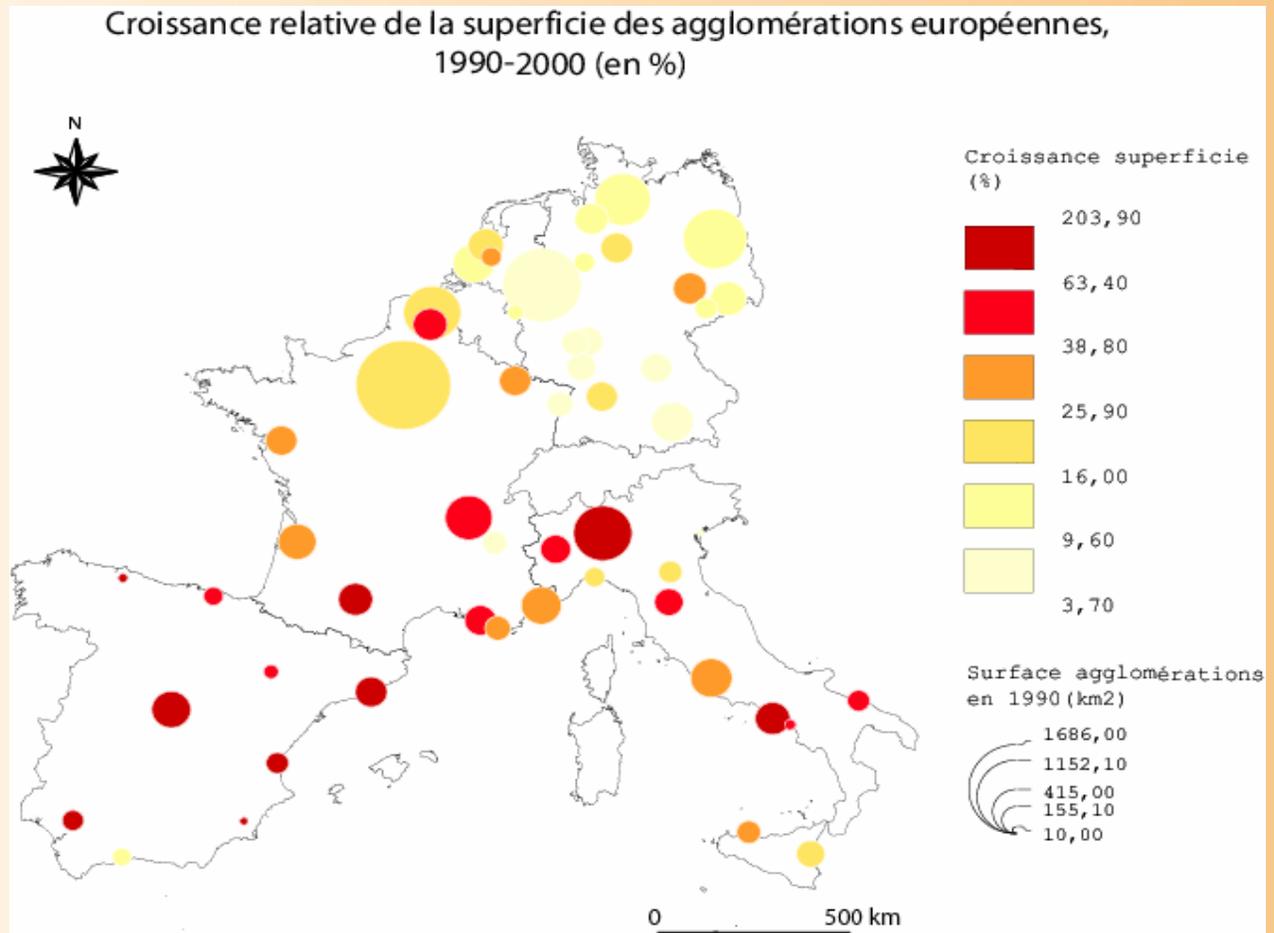
Sprawl in the North, compactness in the South

Marianne Guérois 2008



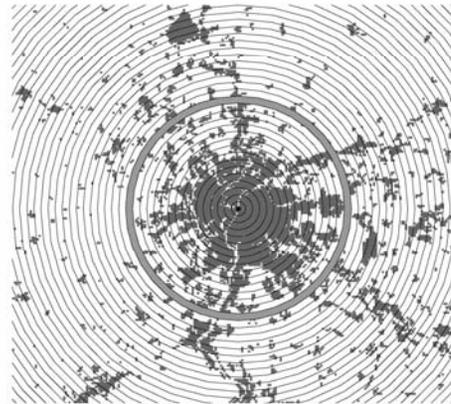
... but converging trends (growth rates of urban surfaces 1990-2000)

**Marianne
Guérois
2008**

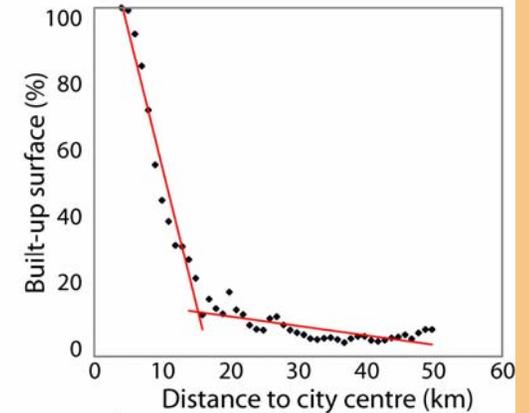


A highly contrasted local urban field

*The urban field:
an example around Lyon (France)*

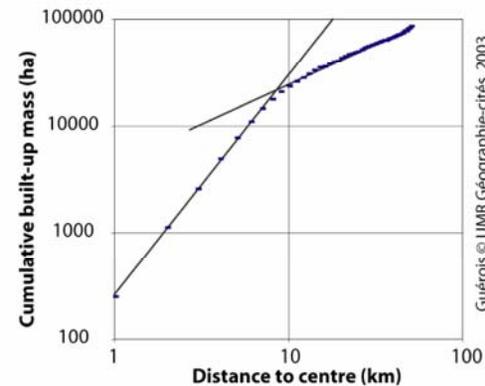


a) grid for analysis



Source : Corine Land Cover, Guérois 2003

b) a double linear gradient



Guérois © UMR Géographie-cités, 2003

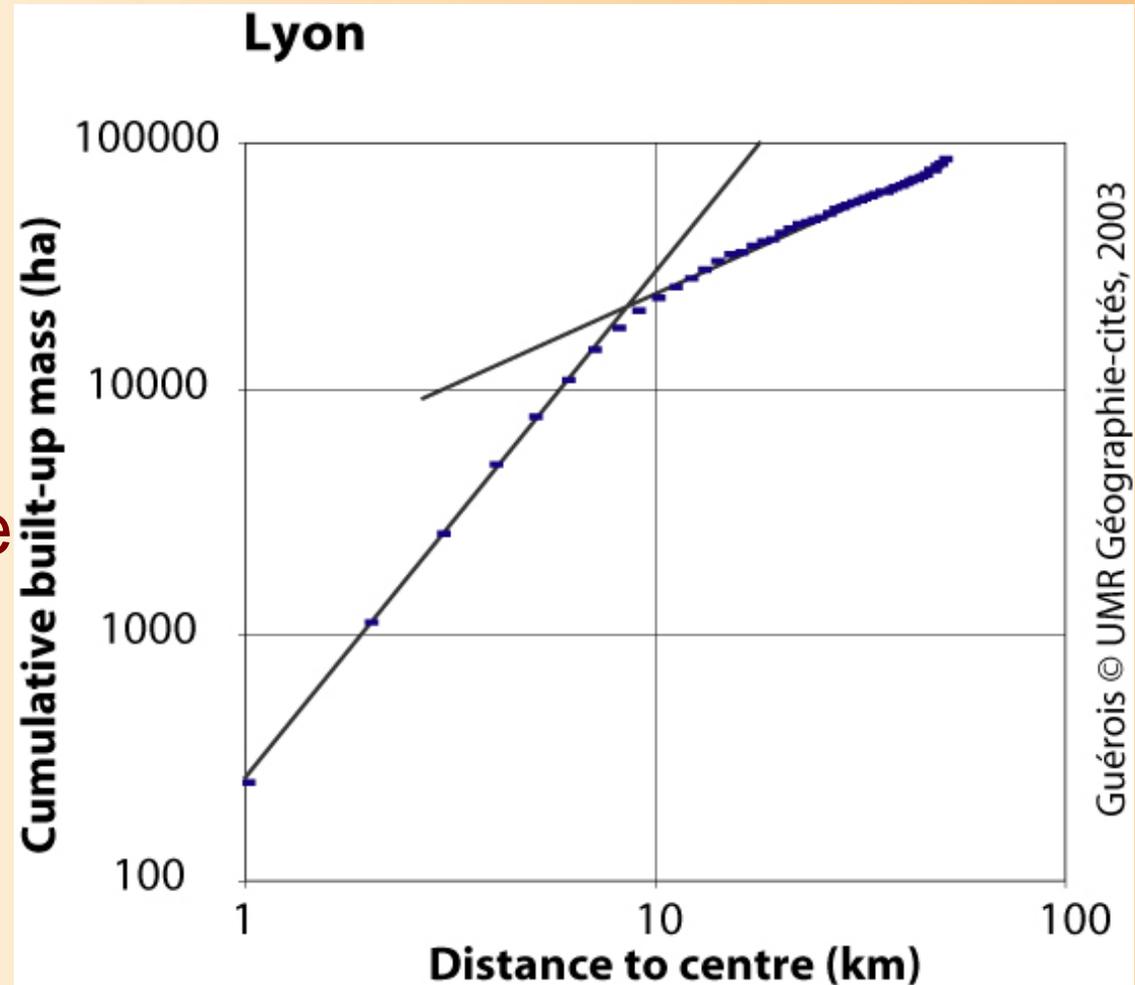
c) two fractal dimensions

*Marianne Guérois,
2003*

Urban agglomeration and functional area: two fractal dimensions

Two different
values of fractal
dimension
according to the
distance from the
urban centre

M. Guérois, 2003

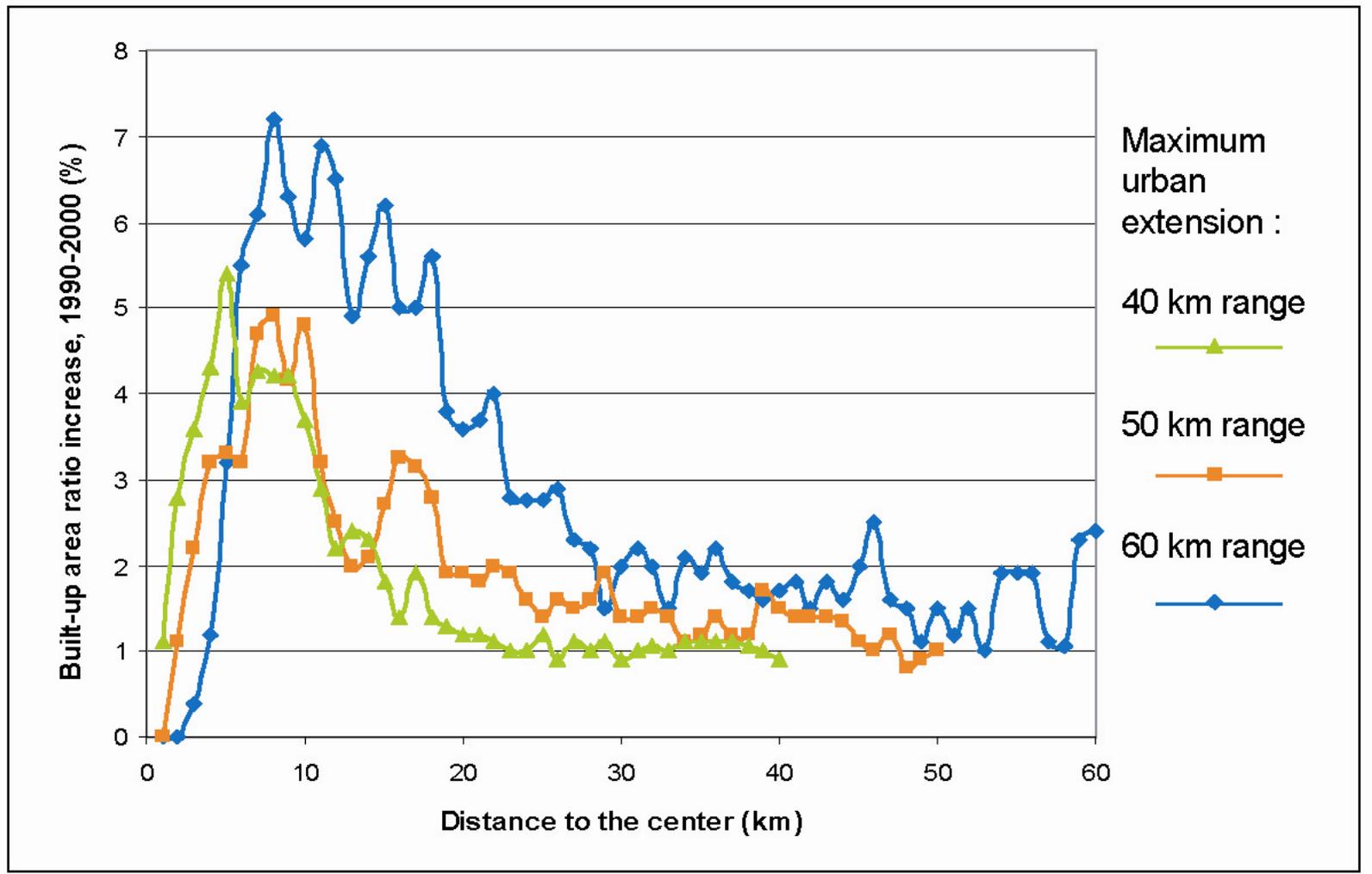


A general model for European urban areas

Cities	Spatial range (in km)	Shape	
		Core	Periphery
Amsterdam	50	1,91	1,02
Barcelona	60	1,70	0,88
Frankfurt	50	1,90	1,22
Hamburg	60	1,96	0,65
Hannover	40	1,90	0,87
London	100	2,04	0,76
Lyon	50	2,06	0,76
Madrid	60	1,91	0,72
Milano	60	1,93	1,14
München	50	1,97	0,68
Napoli	60	1,75	0,86
Paris	100	1,97	0,55
Roma	50	1,88	0,60
Rotterdam	50	1,96	1,10
Sevilla	40	1,59	0,61
Stuttgart	50	1,68	1,20
Toulouse	40	1,85	0,38
Torino	50	1,77	0,67
Valencia	50	1,48	0,80

Marianne Guérois © UMR Géographie-cités, 2003

Evolution of built-up areas 1990-2000: densification at the border of continuously built-up areas



Marianne Guérois, 2008

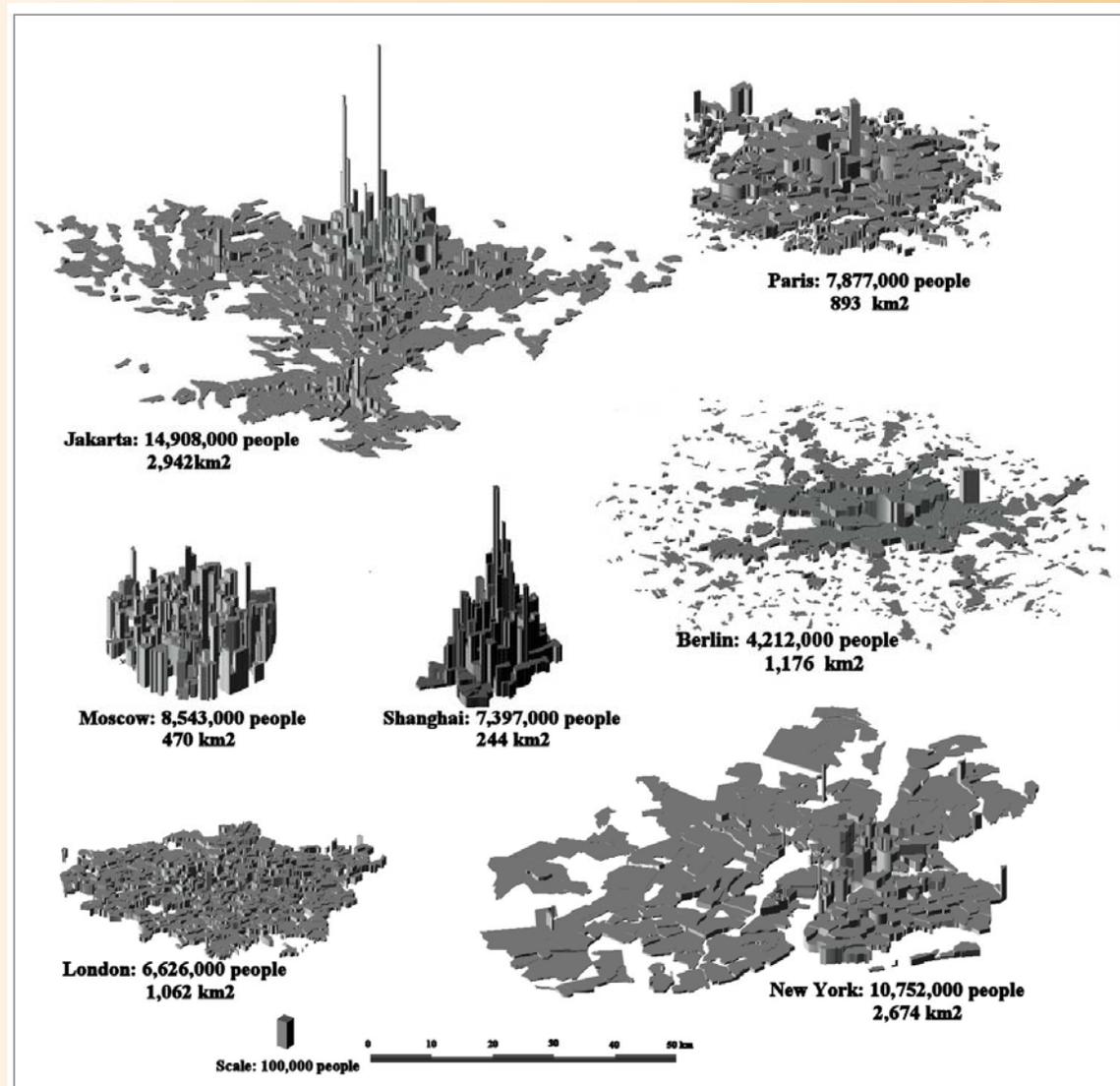
New approaches in urban morphology for explaining emerging properties at city level

- Fractals: Batty, Longley, Frankhauser
- Complex networks: Barabasi, Anderson, Eubank, Porta, Vespignani, Barthelemy...
- Scaling laws and networks: West, Brown, Bejan...

but: the urban field is not emerging from socio-spatial interaction only, its dynamics is also **path dependent**

➔ universal structure and regional variations

Universal structure of the urban field



*Bertaud
2005*

A formal description of the universal structure of urban field

- Urban space is an agent-activity space, where activities are increasing over time in number and diversity
- agents are connecting their activities through branched communication networks of increasing speed and capacity
- At two levels of organisation

Level1: the city

critical time (length of travel) 1 hour (Zahavi)

low speed networks (<25 km/h, x by 5 since 1800)

strong interactions (3 to 4 different connected places of activity/person/day)

→ density and price gradients (centre-periphery), fractal spatial organisation, socio-spatial segregation

Path dependence in urban fields

Although universal, the spatial organisation still exhibit patterns expressing not only **memory**, traces of past (even reinterpreted), but **path dependence**, i.e. constraints on future dynamics emerging from the succession of historical bifurcations (i.e. choices that are not entirely free, but multi-constrained, especially according to higher level interactions)



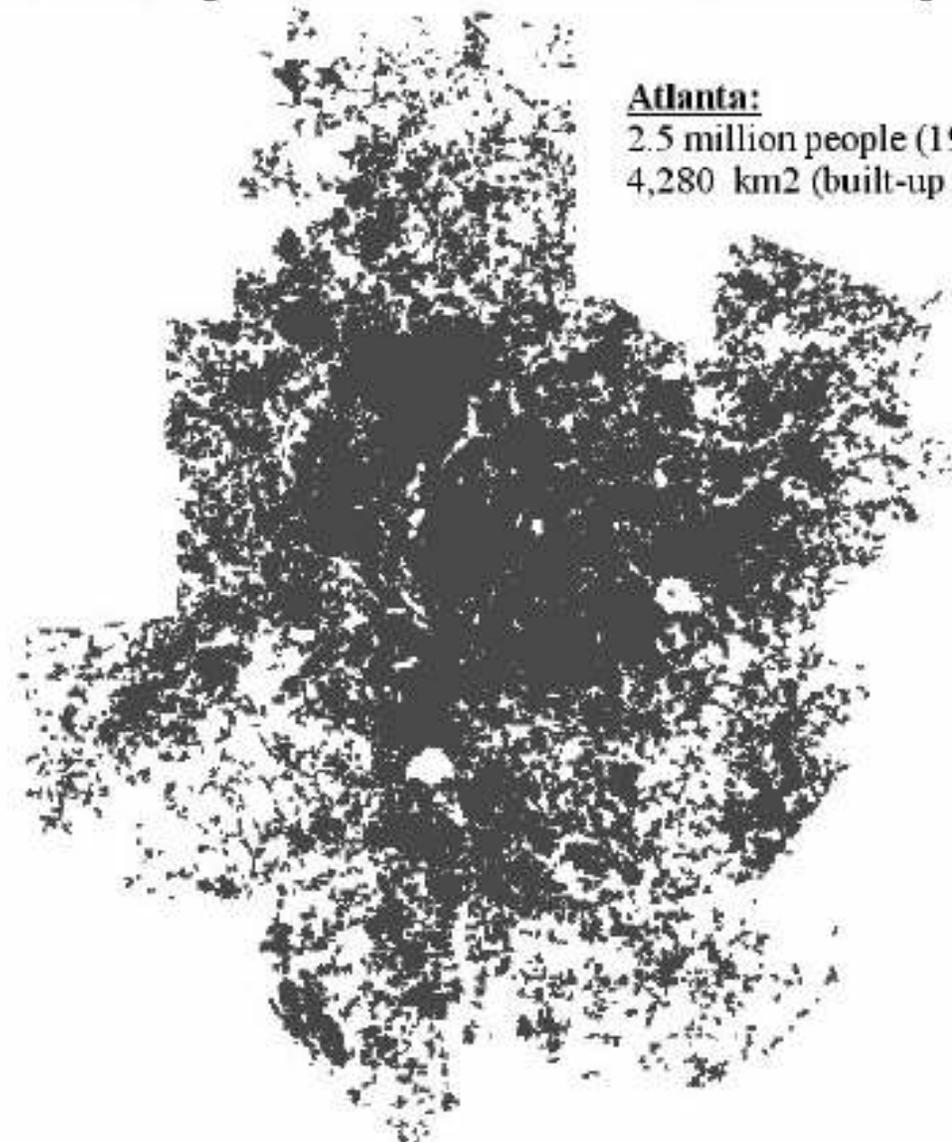
A real estate shop window in Guanzhou





Lima, CISEPA, December 2011, Denise PUMAIN

The Built-up Area of Atlanta and Barcelona Represented at the Same Scale



Atlanta:
2.5 million people (1990)
4,280 km² (built-up area)



Barcelona:
2.8 million people (1990)
162 km² (built-up area)

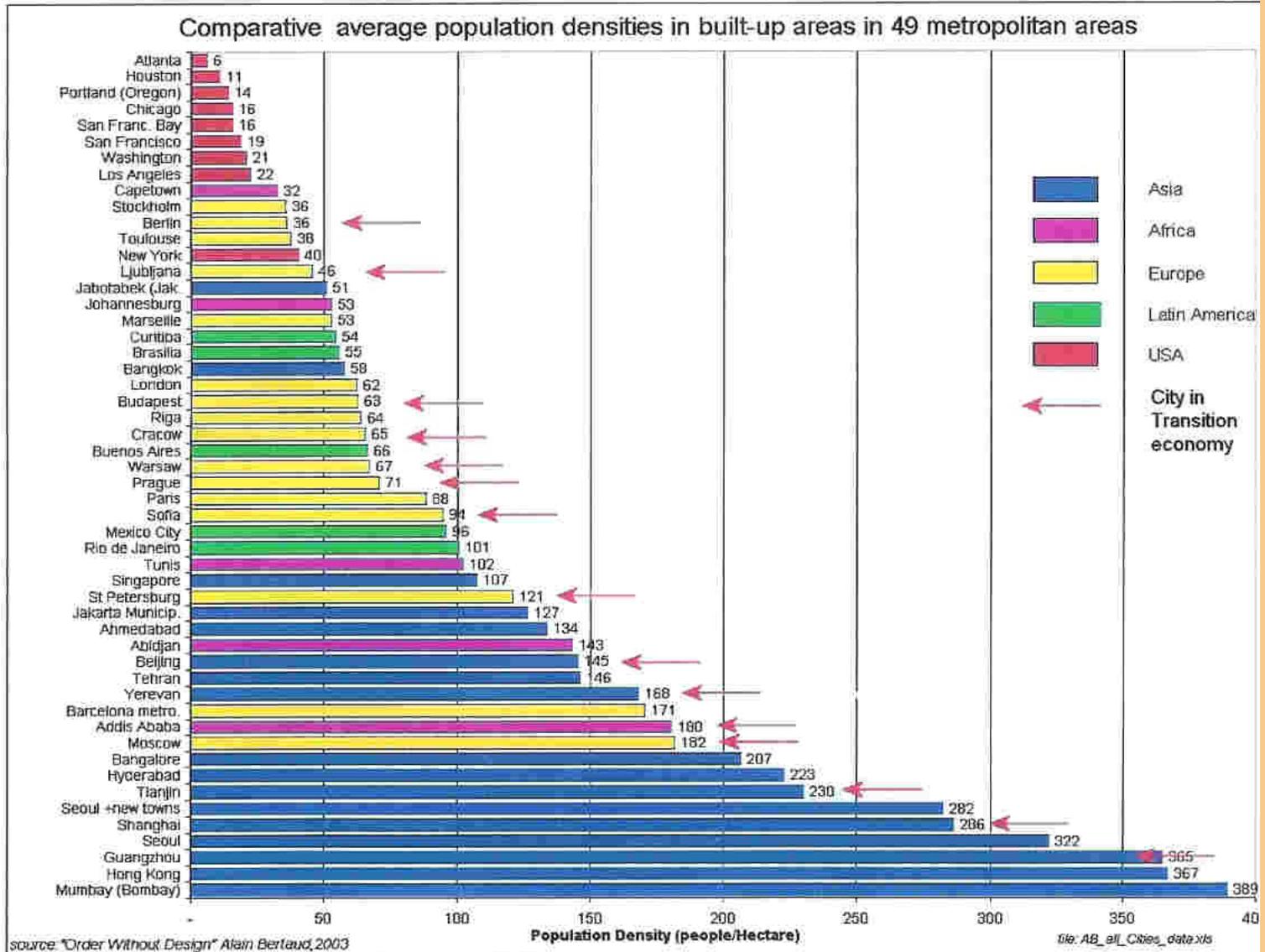
The footprint of 2 cities with equivalent population but different densities

Bertaud



Continental inequalities in urban densities

Indicator 1: Average density



Bertaud
2005

Figure 1: Average population density in the built-up area of 49 cities

Stable (viable?) levels in urban densities...

Average urban densities (population/built-up surface) within >1 million inhab. cities

→ three styles of urbanism

- North America: 2 000 inhab/km²
- Europe: 4 000 to 10 000 inhab/km²
- Asia: 10 000 to 40 000 inhab/km²

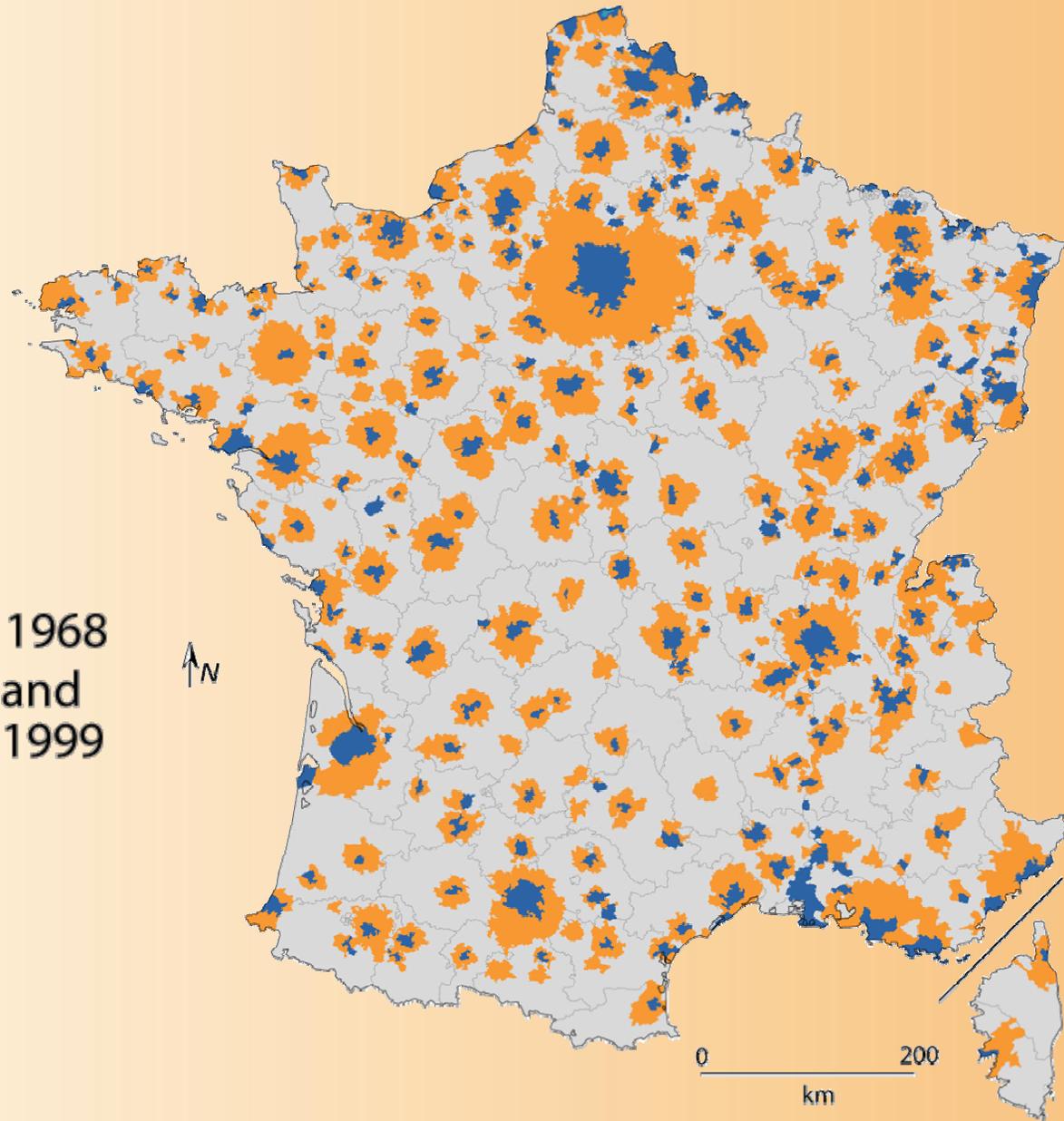
(Latin America cf Europe but more heterogeneous)

...despite forty years of urban sprawl everywhere

Example of France
1968-1999

-  "Aires urbaines" in 1968
-  Spatial extensions and new entities up to 1999

Fabien Paulus, 2004



Urban field variations and sustainable development

Is a convergence in settlement styles possible? desirable?

Facing the same problem of how reducing energy and resource consumption, can we find solutions adapted to each urban context?

➔ Maintaining path dependence as an expression of historical geodiversity?

Questions for research

- How the fractal urban structure could be formalised in an evolutionary spatial framework (space-time contraction)?
Cf. principle of scale relativity (L. Nottale) or network optimisation (A. Bejan)?
- What can be imagined for monitoring spatial and social morphologies in an integrated way?
- Which evolution is expected under policies for sustainable development (compactness, energy and resource sparing) ?
→ How monitoring fractal structures



At regional or continental scales

Why and how do cities increase more and more their « grandezza e magnificenza »?

(Giovanni Botero, 1588, *Della Ragione di Stato*)



Cities are socio-economic adapters in territorial competition

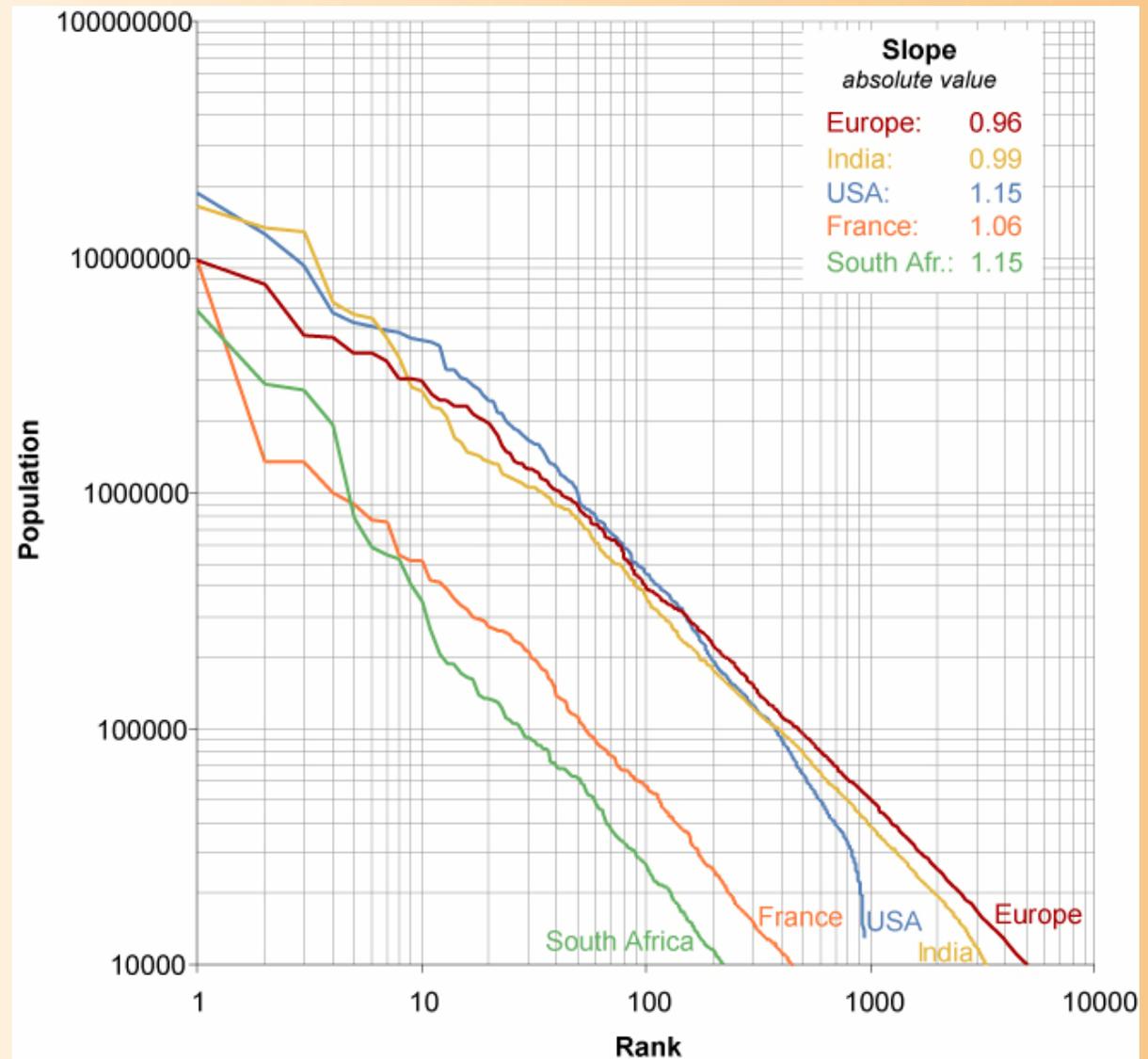
→ models of **systems of cities** and urban hierarchy

At level of systems of cities: what is universal ?

- Hierarchical **differentiation** (produced by socio-economic incremental innovation):
i.e. inequalities in sizes and influence → consequences for urban policies
- Functional **specialisation** (socio-economic radical innovation):
i.e. geodiversity → consequences for urban identity and urban marketing

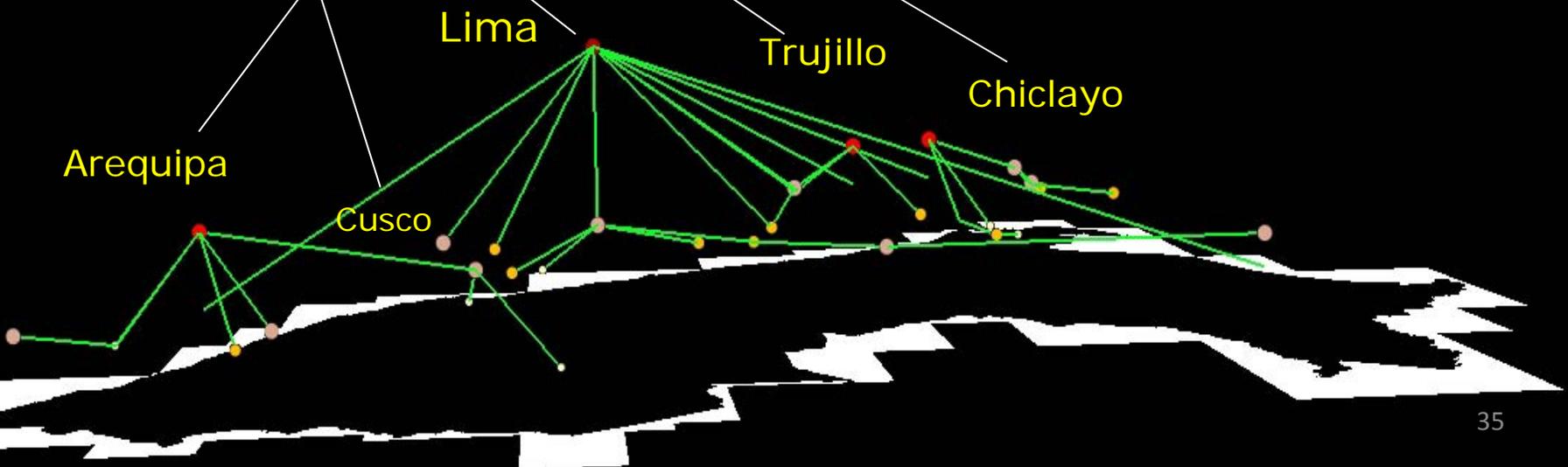
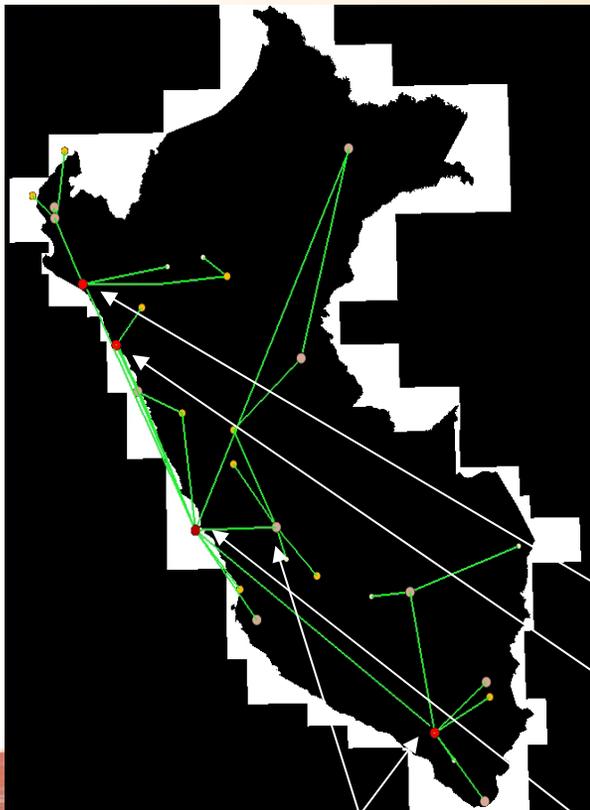
Universal structure: hierarchical differentiation

Hierarchical differentiation
is an
emerging
property of
integrated
urban
systems



Sources : Europe : Moriconi-Ebrard F., 1994, GEOPOLIS / India : Census of India 2001 /
USA : United States Census 2000 / France : INSEE, Recensement de la Population 1999 /
South Africa : Statistics South Africa, Census 2001, Base CVM

The Peruvian urban hierarchy



Hierarchical Level

Count

H1

Cusco

1

H2

Quillabamaba

Sicuani

2

H3

4

H4

25

H5

46

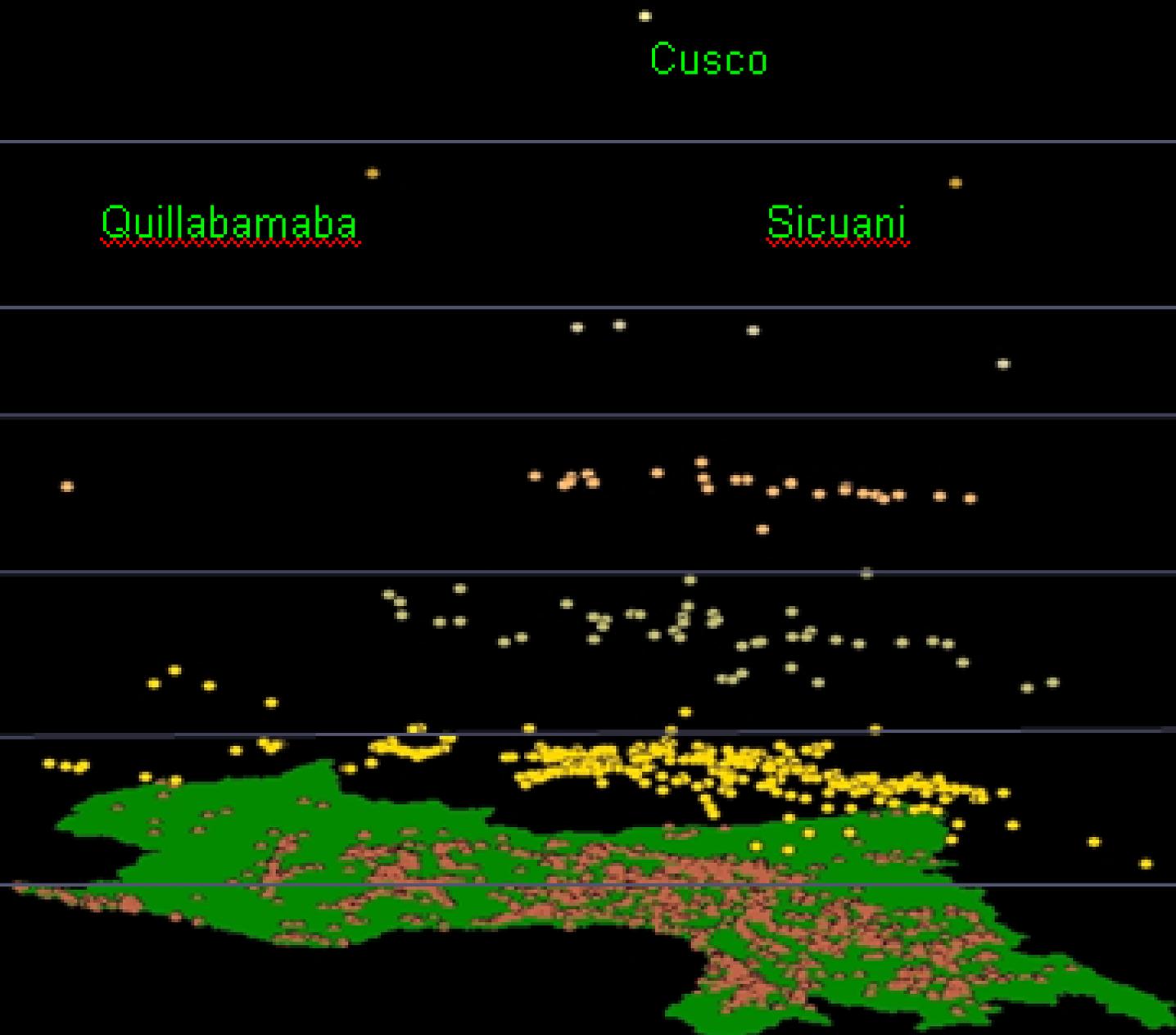
H6

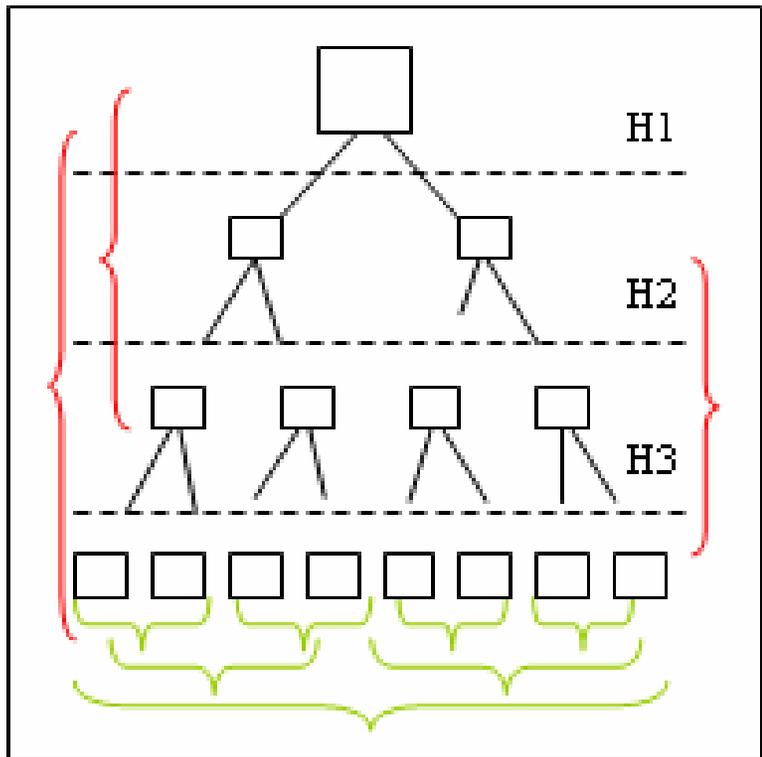
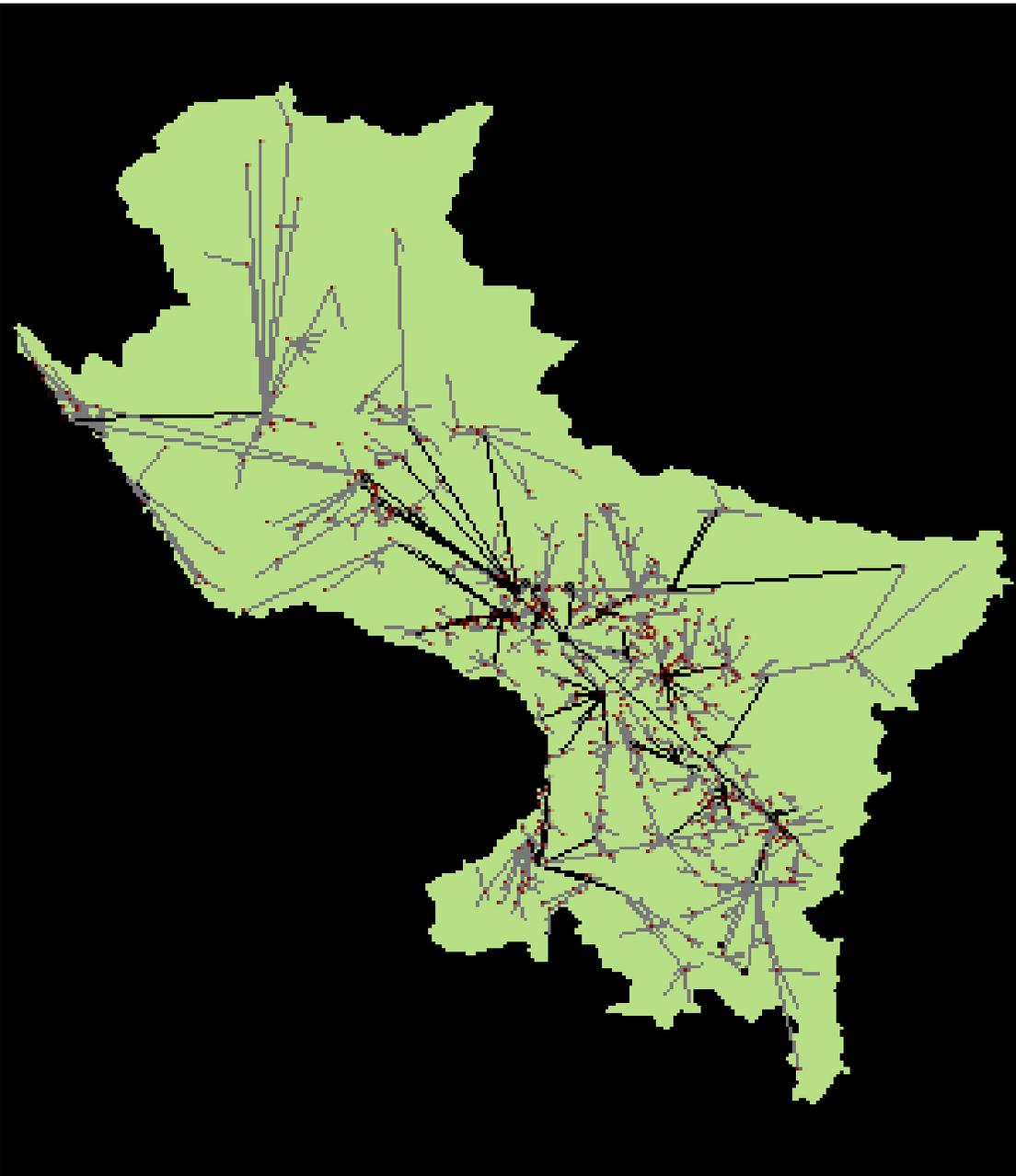
370

H7

1253

36





An urban hierarchy
for the Cusco
Department, Peru

How spatial interaction produces universal hierarchical differentiation within system of cities

- Cities are never isolated but develop through exchanges (people, goods, information) with other cities
 - Exchanges help to reduce the stress of local (ecological) uncertainties
 - As cities are in competition for increasing their accessible resources, exchanges also are an incentive to develop innovation
- distributed growth (Gibrat's model) through spatial diffusion of innovation leads to lognormal distribution of city sizes



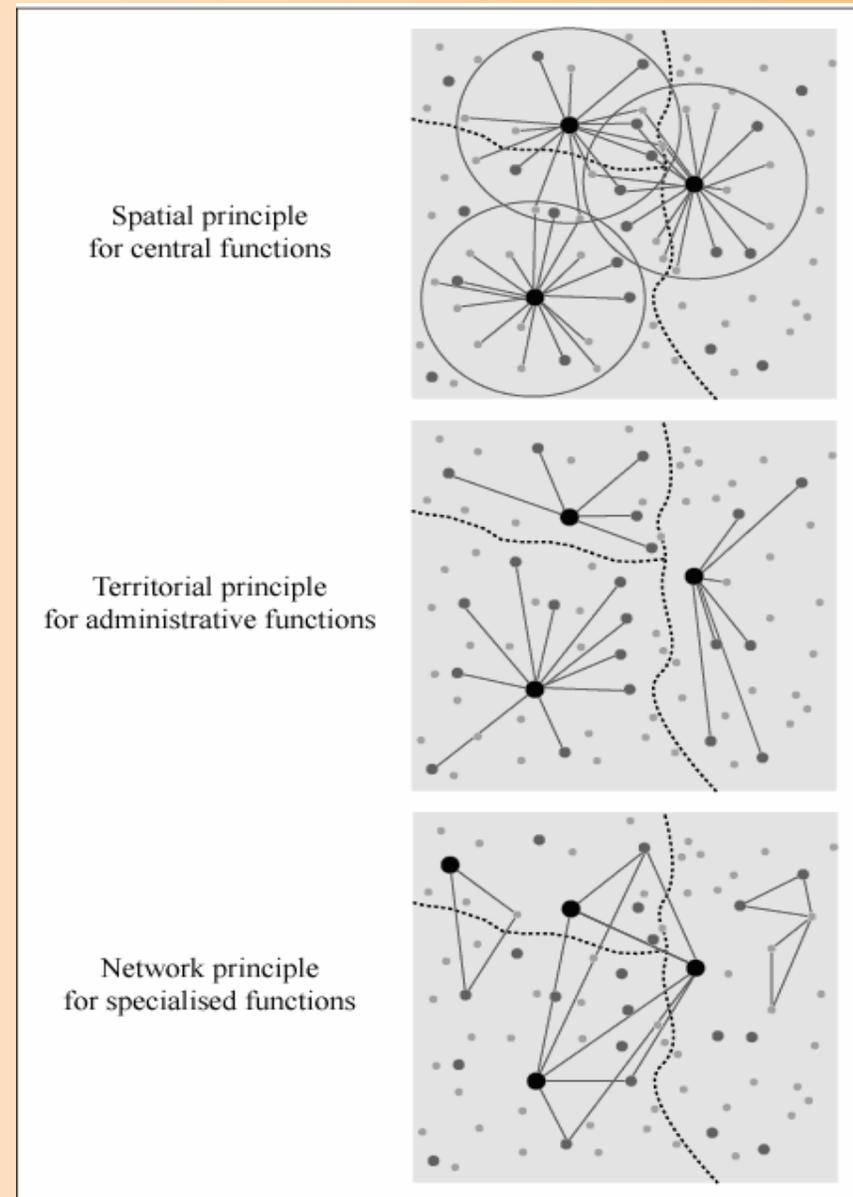
A variety of urban spatial interaction

-According to urban functions:

* **distance constrained**
competition (central functions,
regional trade and services)

* **proximity and exclusivity**
(administrative and political
control)

* **network control** (long
distance) with or without
boundary constraints
(manufacturing, finance, tourism)

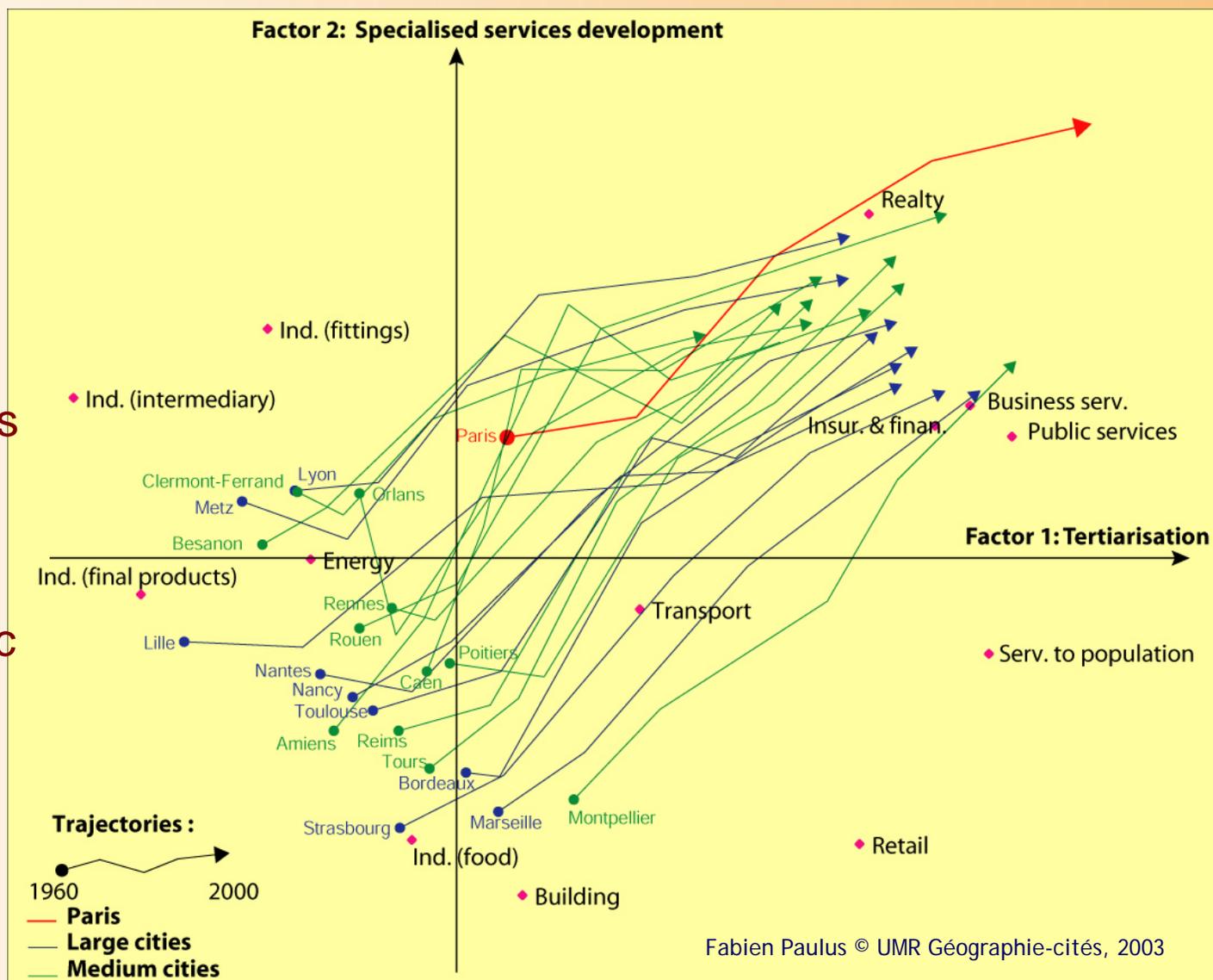


Source: Sanders et al. 2006

Incremental change: Co-evolution of cities' economic profiles

French largest agglomerations evolving in the functional space according to their economic differentiation (1960-2000)

F. Paulus, 2004



Fabien Paulus © UMR Géographie-cités, 2003

Urban patterns and generative processes

- Urban space is an agent-activity space, where activities are increasing over time in number and diversity
- agents are connecting their activities through branched communication networks of increasing speed and capacity
- At two levels of organisation

Level2: system of cities

critical time (length of travel) 1 day (E. Reclus)

high speed networks (x by 40 since 1800)

weak interaction (less frequent: <1/person/week or /month)

hierarchy of sizes, scaling laws between size and number of activities



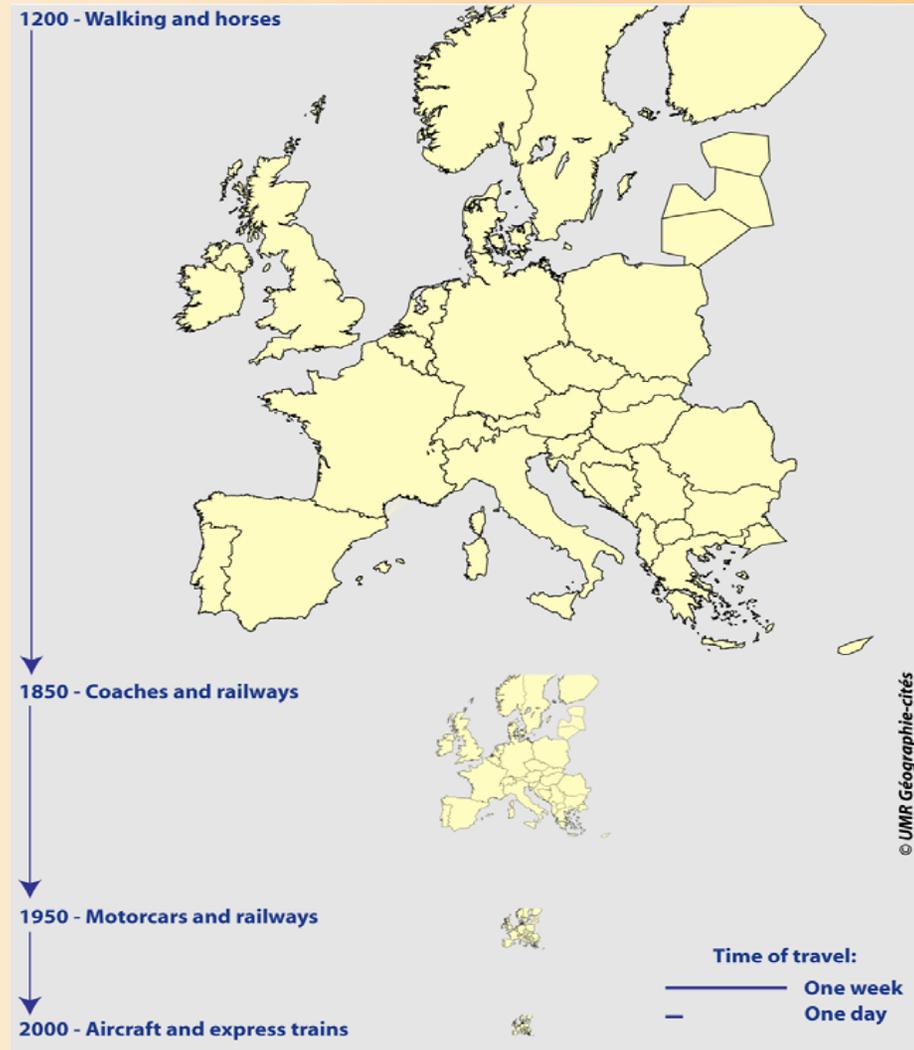
Space-time contraction for societal interaction

surface of
Europe
proportional
to travel
time

walking
riding

train

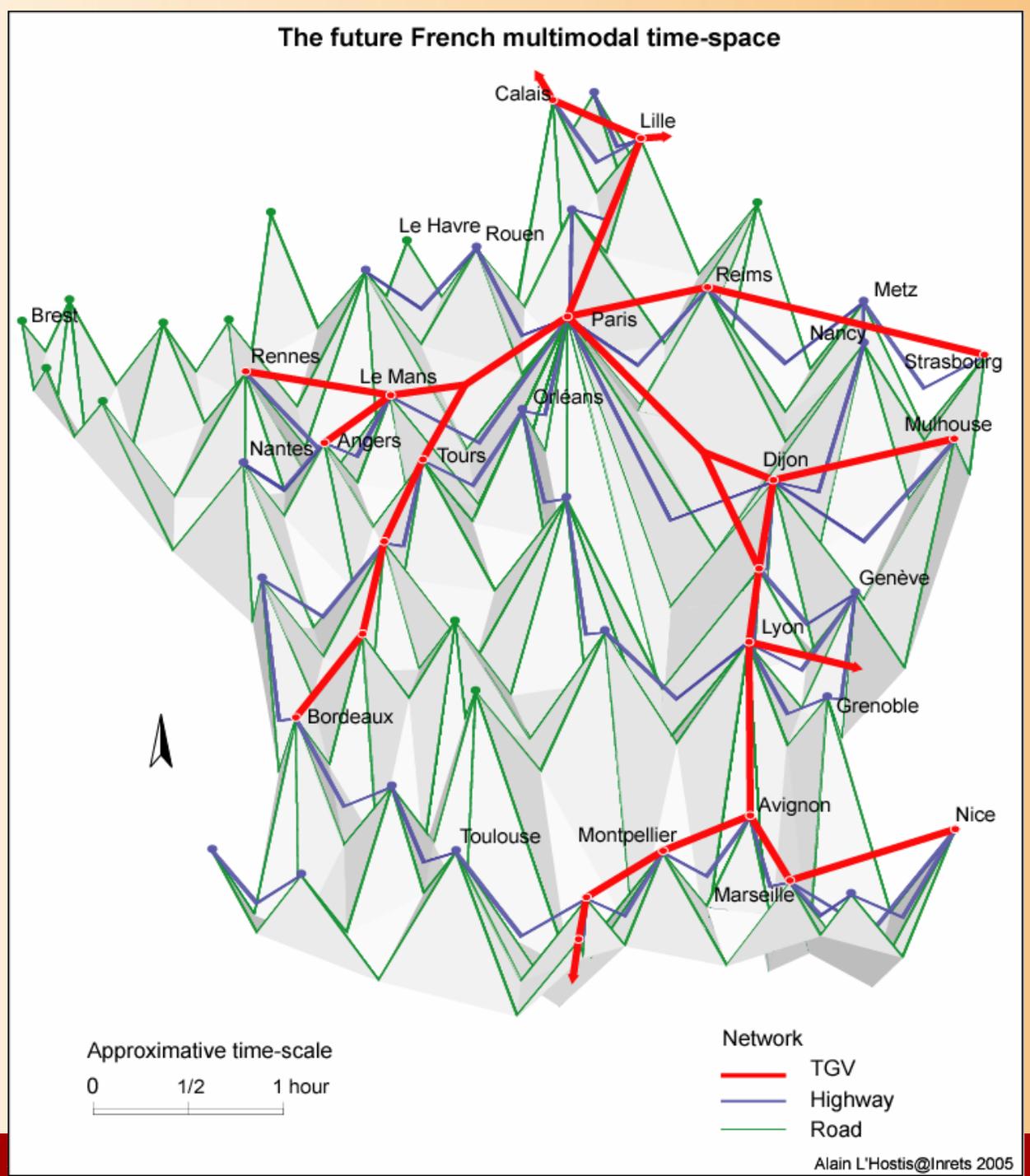
airplane



A. Bretagnolle, 1999

**Multiscalar
accessibility
in time space
(A. L'Hostis)**

**→ A
shrinking
world is
folding
(Tobler,
2001)**



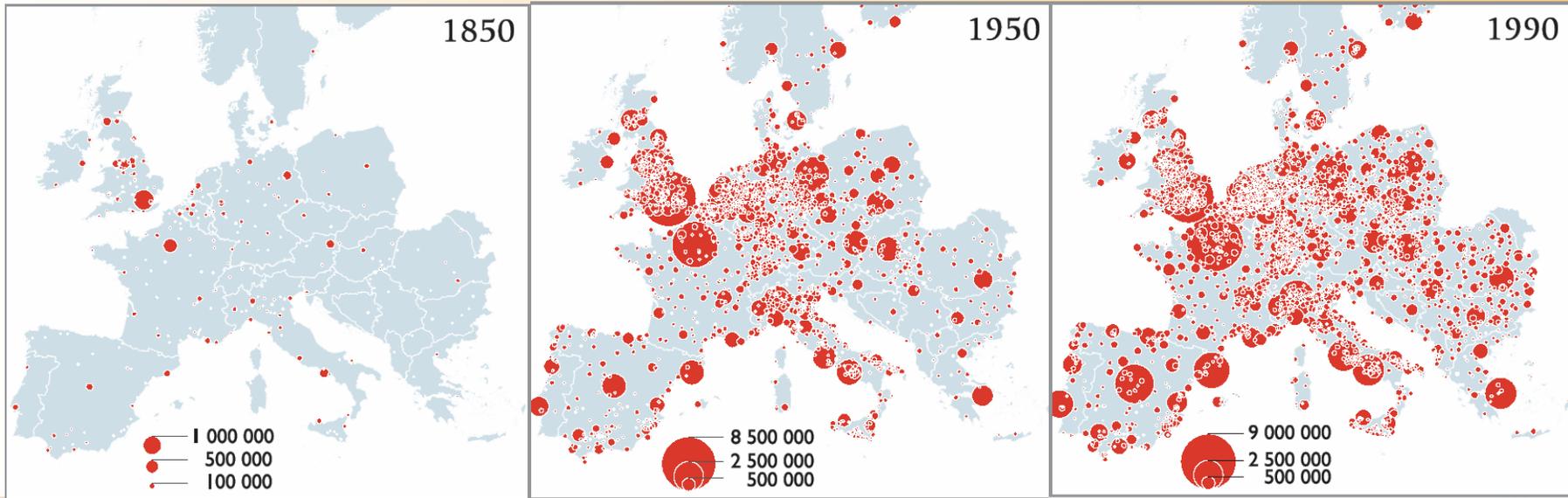
Effect of path dependence on systems of cities: different styles of settlement systems

Three major types of systems of cities in the world:

- Old settlement systems: short spacing, weak hierarchisation (Europe, Asia)
- New settlement systems: wide spacing, strong hierarchisation (US, Australia)
- Two stages urbanisation (autonomous then under colonial systems): strong primacy or macrocephaly and dual urban systems (India, Africa...)

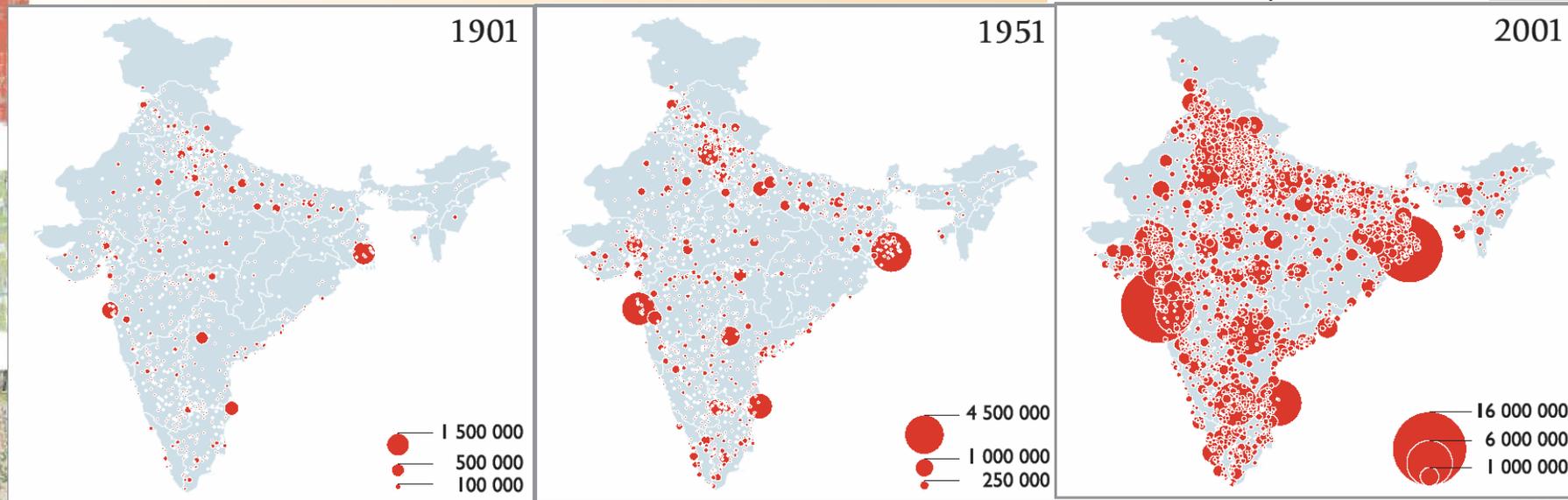


Distributed growth in old urban systems



Source: Bairoch and Geopolis

500 km

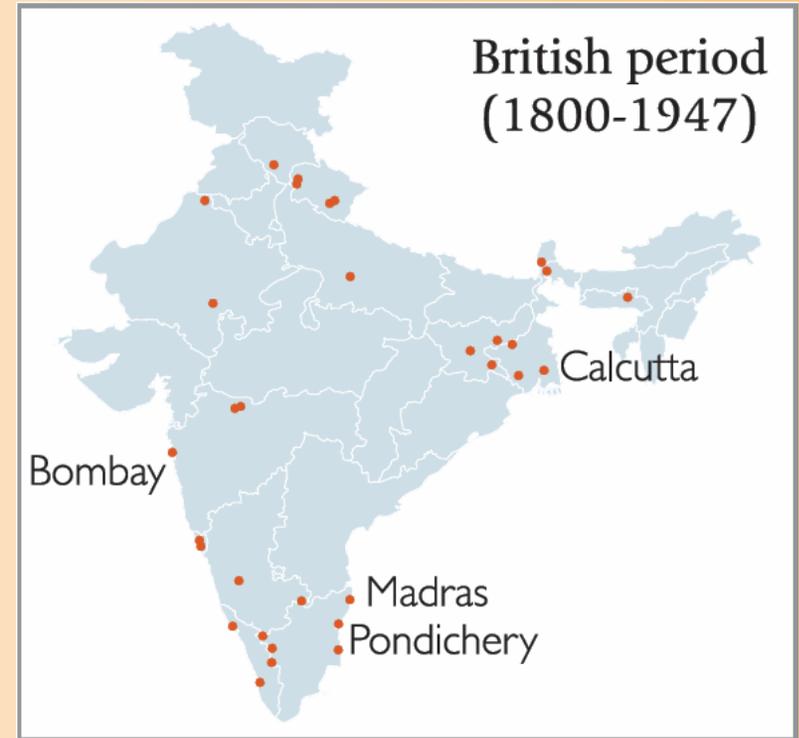
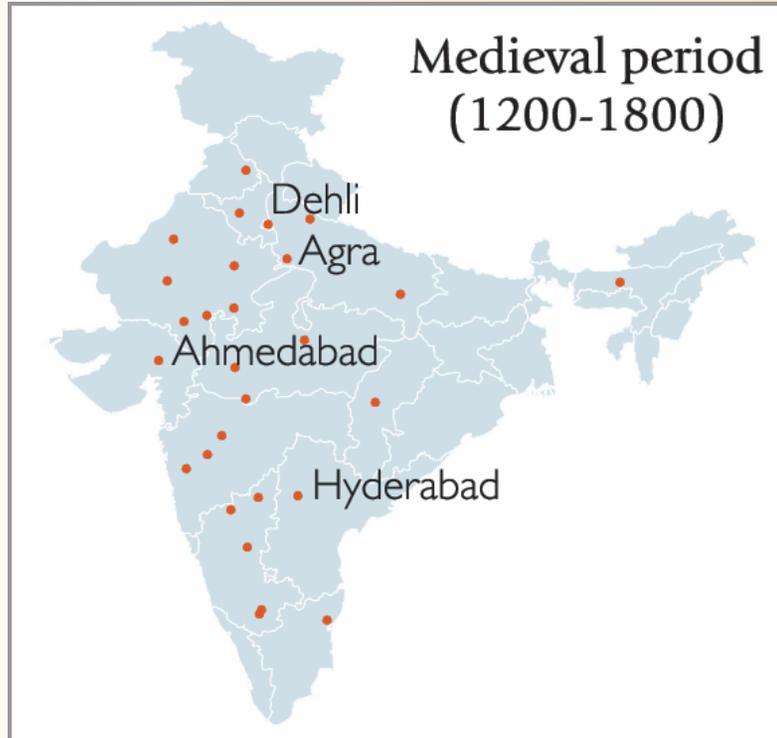


Lima, CISEPA, December 2011, Denise

Source: Census of India

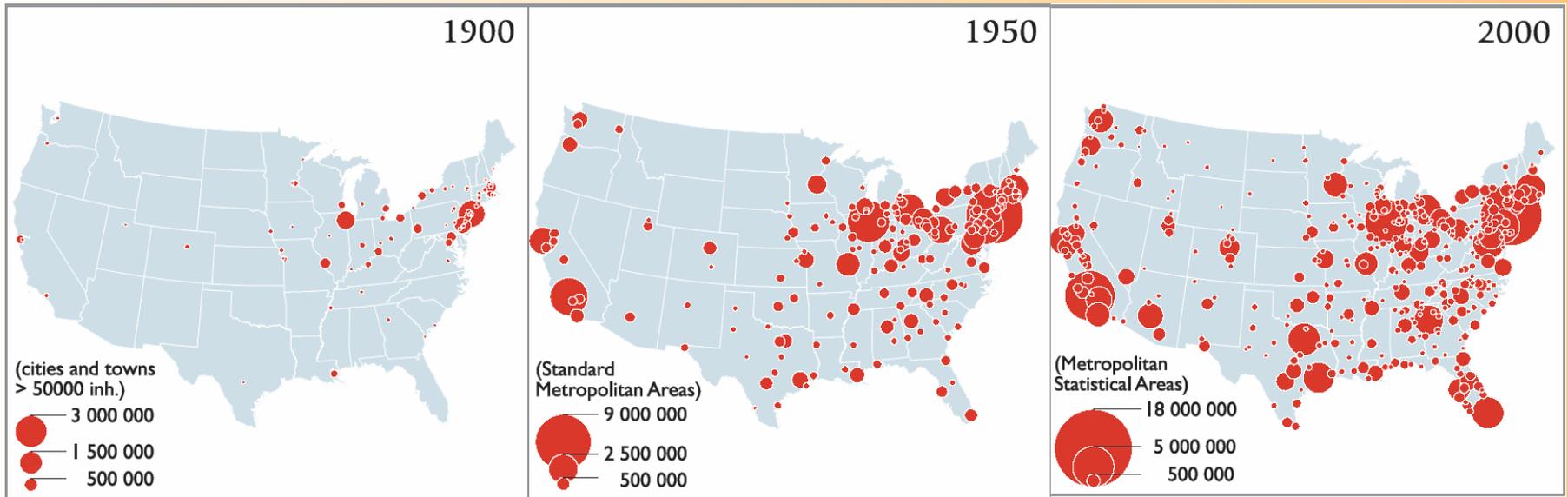
250 km

Spatial shift of primate cities at colonial time



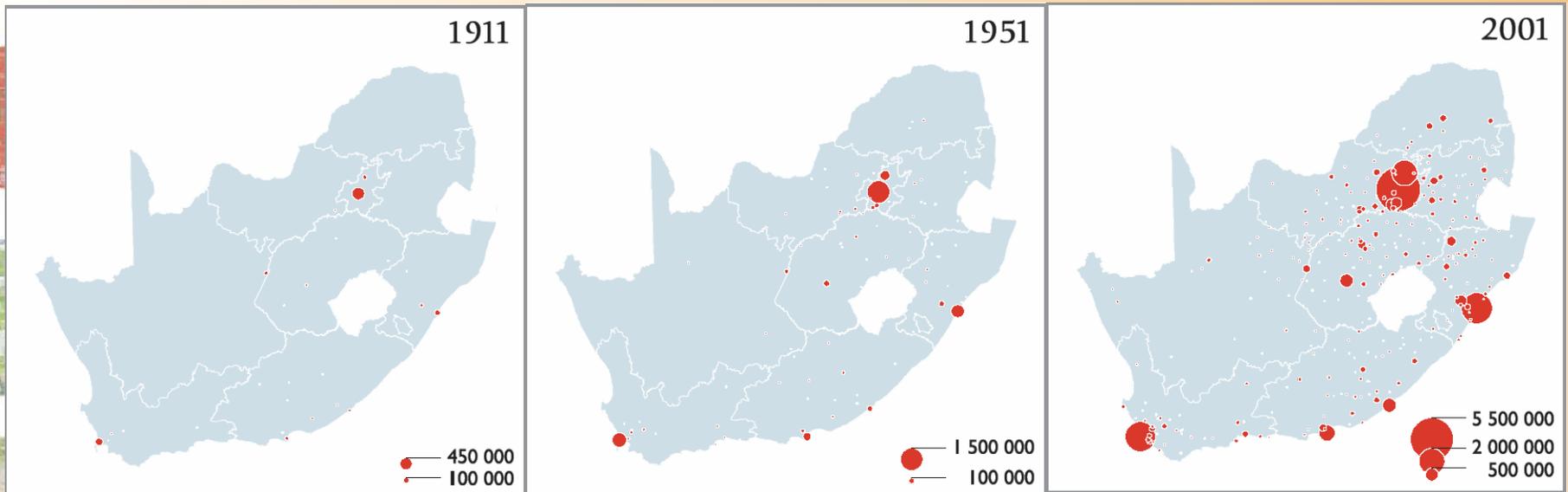
➔ Path dependence of the colonial bifurcation in the Indian urban system until today

Frontier urbanisation then distributed growth in new urban systems



Source: Census of the U.S.

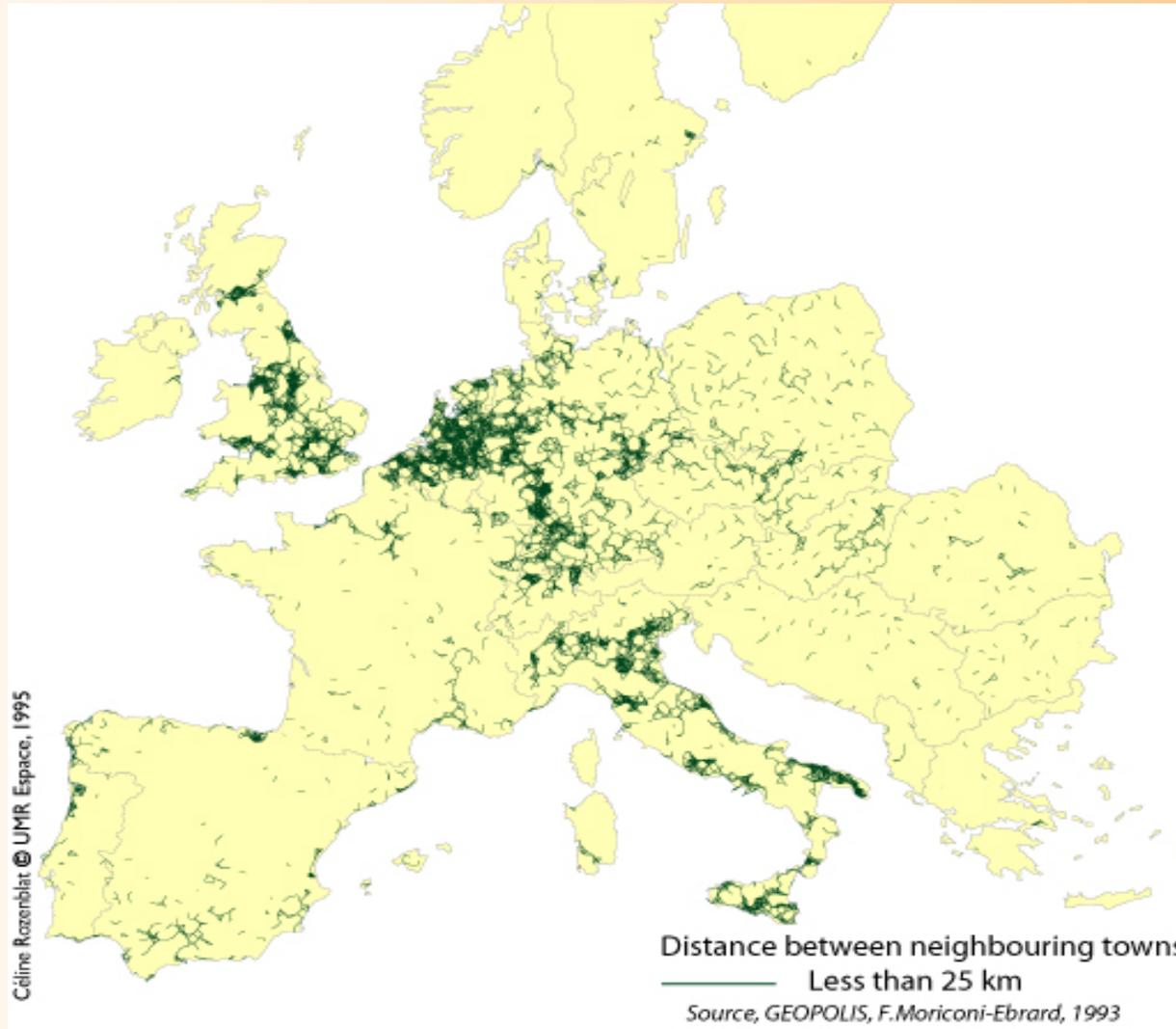
500 km



Source: Census of South Africa

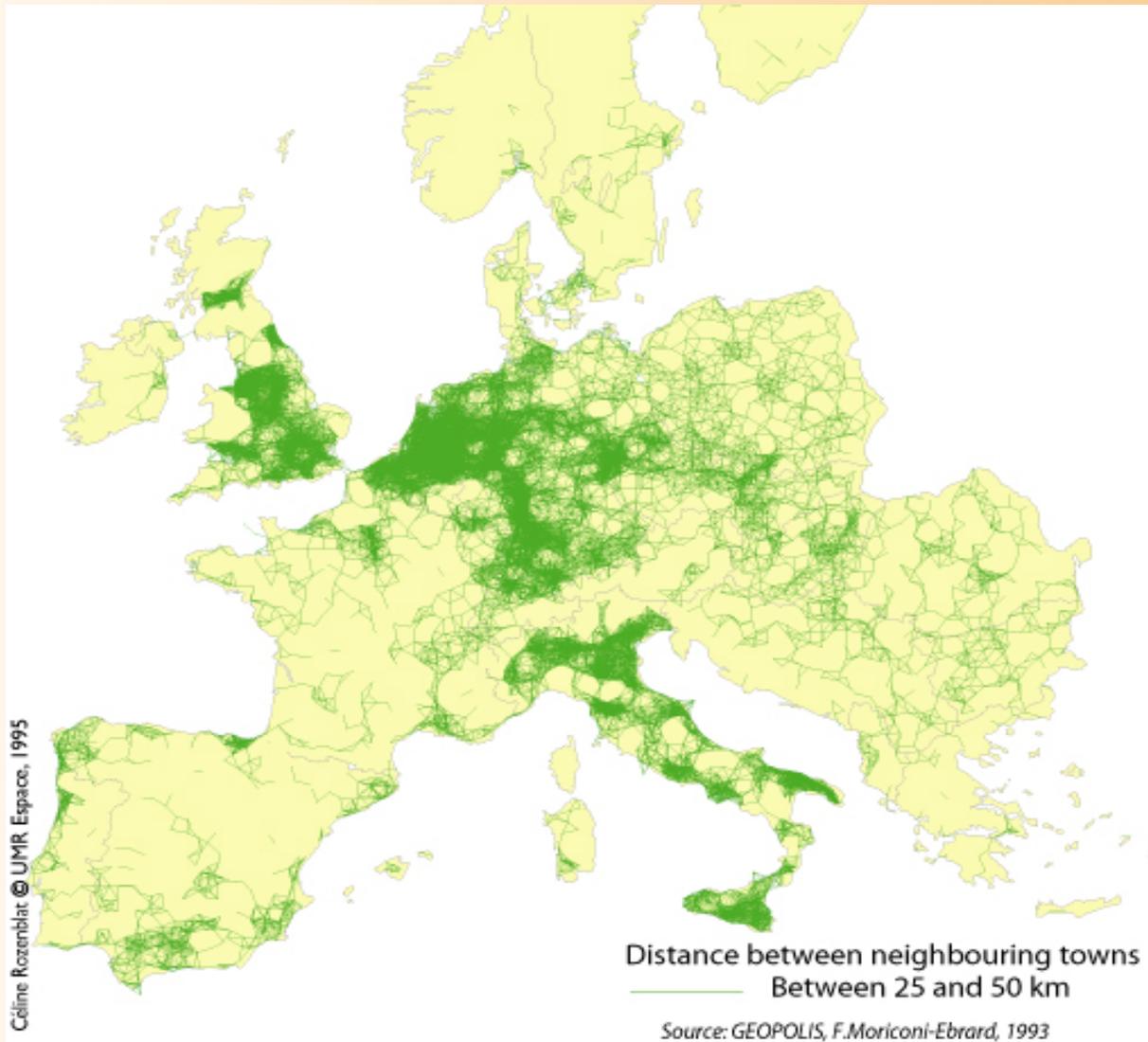
250 km

Path dependence in European urban patterns



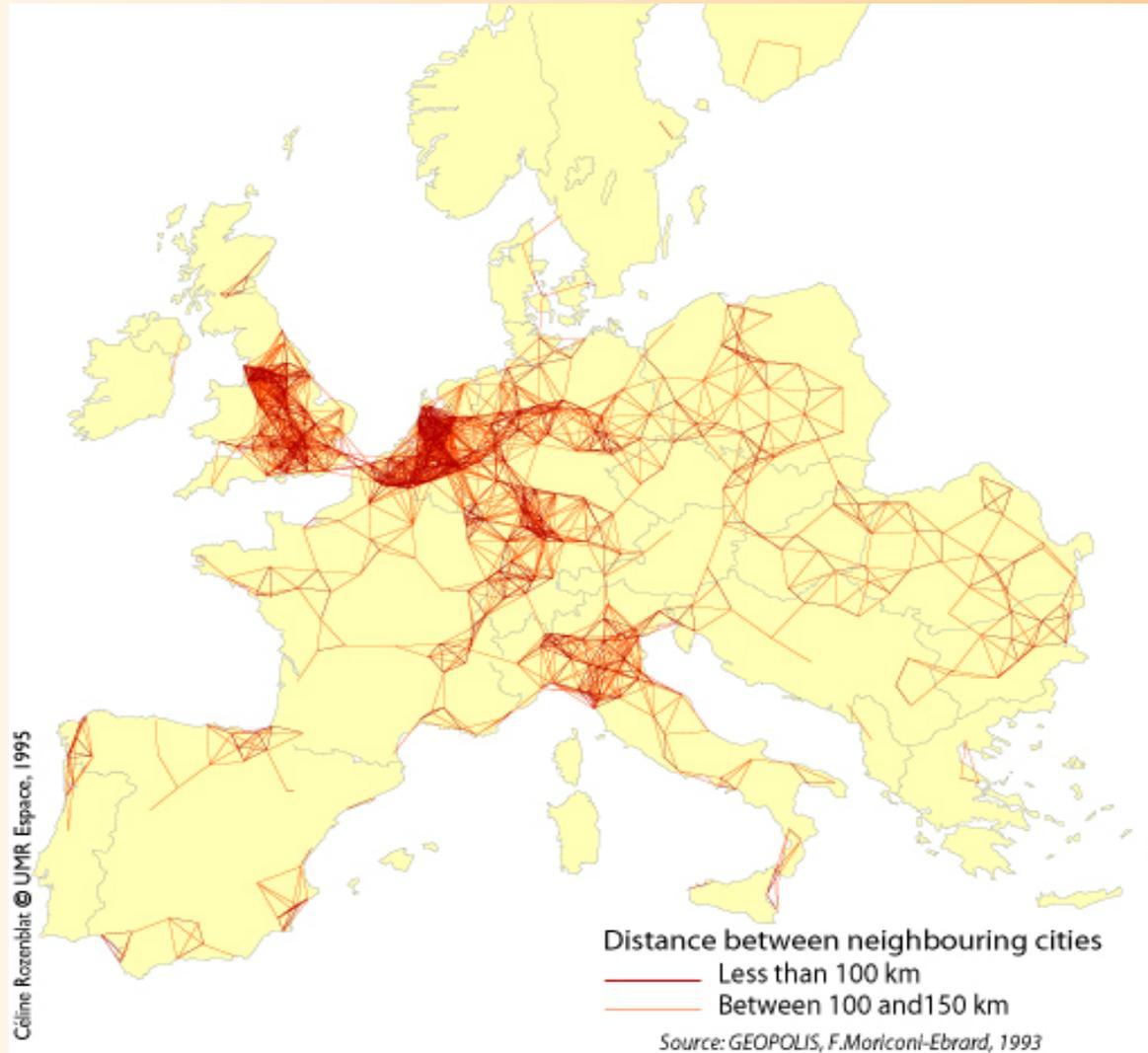
Céline Rozenblat, 1996

Path dependence in urban patterns



Céline Rozenblat, 1996

Path dependence in urban patterns



Céline Rozenblat, 1996

An evolutionary theory of urban systems

- Competing cities through exchanges of information → urban hierarchy (size and social functional complexity)
- Hierarchical diffusion process → historical trend to metropolisation
- Selection and substitution processes → functional specialisation associated to innovation cycles



Questions for research

Growing from creating and capturing innovation is the favorite urban sport, therefore:

- Will large cities become still larger?
 - Which future for medium size cities and small towns? Will they become as specialised and tiny as villages were?
 - Which effect can have new technologies and policies for sustainable development?
- Urgent need for integrative models of urban growth!

More urban growth

Number of urban agglomerations in the world

	1950	2010
> 10 ⁷ inhabitants	2	31
> 10 ⁶ inhabitants	83	482
> 10 ⁵ inhabitants	1150	5100
> 10 ⁴ inhabitants	10 800	59 000

Source : F. Moriconi-Ebrard, Geopolis, 1993 and 2010

→ two thirds of urban population live in developing countries

More urban concentration?

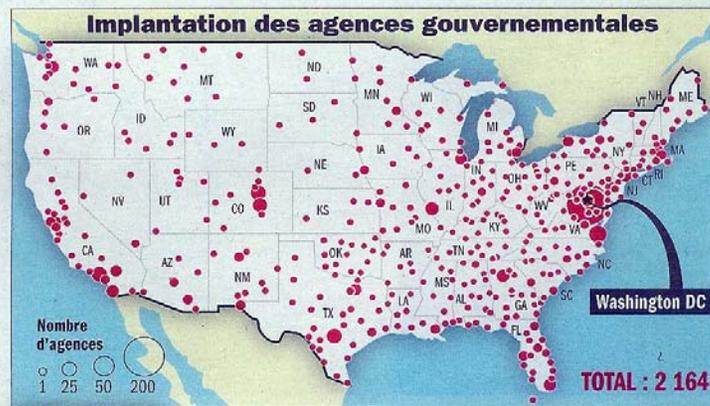
Public agencies

Private firms

Location of secret services agencies in United States in 2010

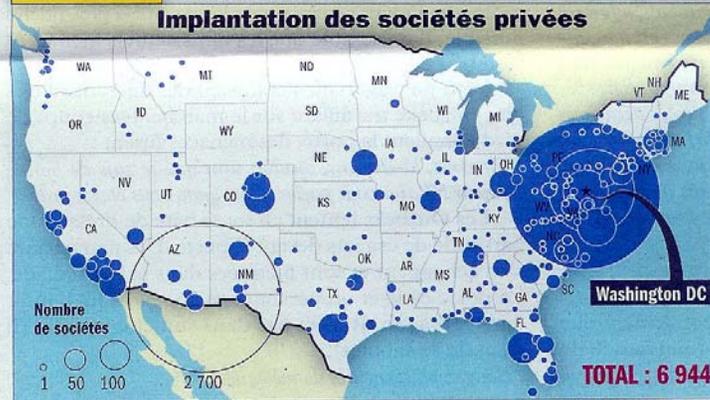
Source:

Courrier International
1030, Juillet-Août 2010



OÙ SONT LES SERVICES DE RENSEIGNEMENTS AMÉRICAINS ?

AL Alabama, AR Arkansas, AZ Arizona, CA Californie, CO Colorado, CT Connecticut, DC District fédéral de Columbia, DE Delaware, FL Floride, GA Géorgie, IA Iowa, ID Idaho, IL Illinois, IN Indiana, KS Kansas, KY Kentucky, LA Louisiane, MA Massachusetts, MD Maryland, ME Maine, MI Michigan, MN Minnesota, MO Missouri, MS Mississippi, MT Montana, NC Caroline du Nord, ND Dakota du Nord, NE Nebraska, NH New Hampshire, NJ New Jersey, NM Nouveau-Mexique, NV Nevada, NY New York, OH Ohio, OK Oklahoma, OR Oregon, RI Rhode Island, SC Caroline du Sud, SD Dakota du Sud, TN Tennessee, TX Texas, UT Utah, VA Virginie, VT Vermont, WA Washington, WI Wisconsin, WV Virginie-Occidentale, WY Wyoming



INVENTAIRE Un secteur en plein boom

1 271 agences du gouvernement américain et **1 931 sociétés privées** travaillent sur des programmes liés au contre-terrorisme, à la sécurité du territoire et aux renseignements.

854 000 personnes sont détentrices de certificats de sécurité top secret.

51 agences du gouvernement fédéral et unités militaires traquent les flux d'argent vers et en provenance de réseaux terroristes. Il n'est pas rare que plusieurs organisations différentes s'occupent d'une même tâche.

50 000 rapports sont publiés chaque année par des analystes qui étudient les documents et conversations obtenus par les services de renseignements étrangers et nationaux. Un volume si énorme que beaucoup sont souvent ignorés.

A need for global scenarios

Climate change: completing grids of variables with urban network patterns

Completing and harmonizing urban data bases
EEA, ESPON, Eurostat, Urban Audit, e-Geopolis
(Africa, India, China...)

Mixing urban knowledge from decision makers,
stakeholders, scientists and modellers in « user
friendly » interactive simulation models

Global view: share of population in cities (%)

Size of agglos.	<500 000	500 000 to 5 millions	>5 millions
Latin America	36	22	15
North America	30	35	12
Europe	47	22	4
Asia	19	13	6
Africa	23	11	3

Source: UN

World megapoles (population 2010)

In red:
deve-
loping
countries

City	millions	City	millions
Tokyo	37	Calcutta	15
New York	26	Moscou	15
Mexico	23	Buenos A	14
Séoul	23	Dacca	13
Mumbai	21	Téhéran	13
Sao Paulo	20	Lagos	13
Manille	20	Karachi	12
Delhi	19	London	12
Los Angls	18	Beijing	12
Shanghai	18	Joburg	12
Osak K K	17	Rio de J	12
Le Caire	16	Paris	12

Source:
Population
Data.net

World megapoles: population and GDP

Rank population	Rank GDP
Tokyo	New York
New York	Tokyo
Mexico	Los Angeles
Séoul	Osaka
Mumbai	Paris
Sao Paulo	Londres
Manille	Chicago
Delhi	San Francisco
Los Angeles	Dusseldorf
Shanghai	Boston
Osaka K K	Washington
Le Caire	Séoul

In red:
deve-
loping
countries



Le numérique peut-il casser des briques?

Which influence IT on urban evolution?

Accessibility, ubiquity of information → toward settlement dispersal, « shrinking cities »?

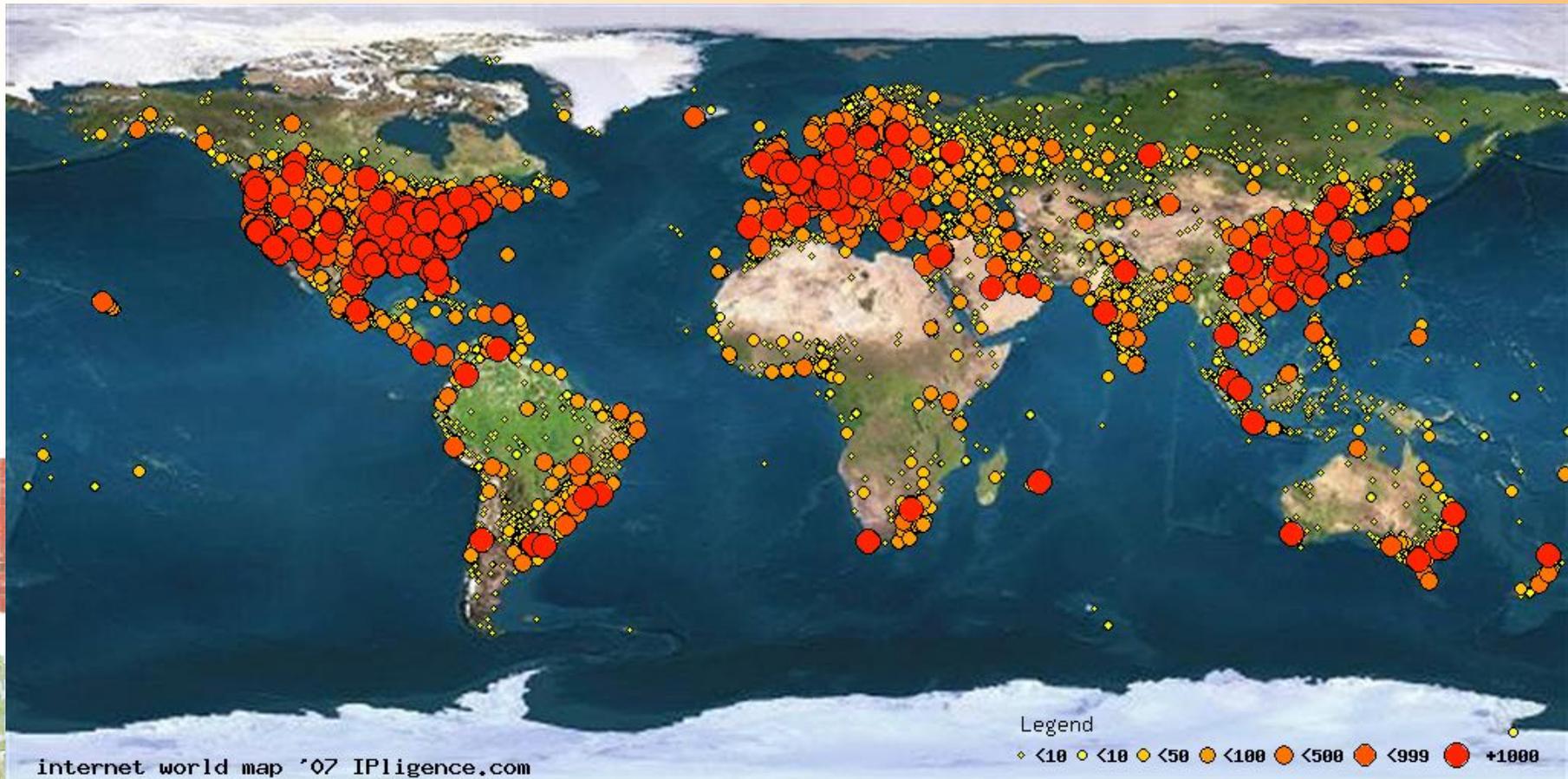
But autonomy and path dependency in urban systems dynamics...

→ Internet will change the urban order, no more no less than railways and phone have done...

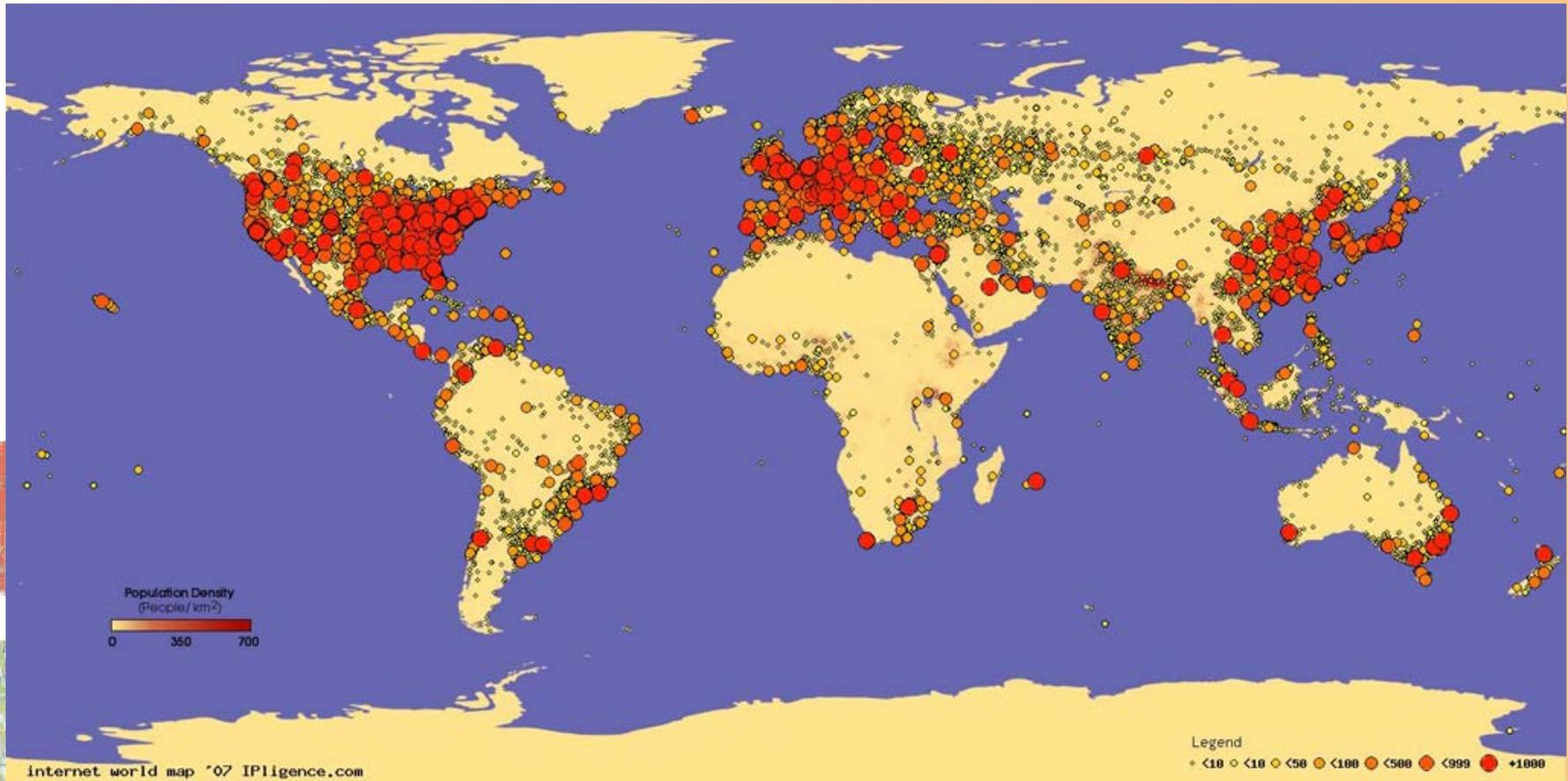
→ More urgent need for modelling tools!



Number of IP addresses in 2007: the cities



But density of Internet= country GDP...



Which consequences for urban planning?

→ Adaptation is a creative action, as well as innovation (learning process)

→ Urban actors have to develop their information networks

Various strategies are available:

- Imitation: safe solution but right timing is required
- Exploration of unexplored niches (but risk of lock-in)
- data bases and simulation models elaborated between stakeholders, decision makers and researchers are always needed!

Urban modelling tools and resources

Thank you for your attention!

S4 <http://s4.parisgeo.cnrs.fr>

S4 Spatial Modelling Platform

<http://www.spatial-modelling.info/>

Cybergeo <http://www.cybergeo.eu>

CASA www.casa.ucl.ac.uk

CSISS www.csiss.org

CSIS www.csis.u-tokyo.ac.jp